Recent Developments

Primordial Black Holes

Florian Kühnel

Max Planck Institute for Physics

Holography and the Swampland Be'er Sheva, 21st of March 2023

DISRUPTED LAYER



Contemporary Art by **Zohar Gotesman** in the Archaeology Wing

What are Primordial Black Holes (PBHs)?

- ★ Black holes formed in the early Universe (in particular: non-stellar).
- ★ First proposed by Novikov and Zel'dovič in the late 1960th, but their conclusion was negative for the existence of PBHs.





★ Conclusion disproved by Carr & Hawking (1974), reinvigorated PBH research (nearly 2000 papers to date).





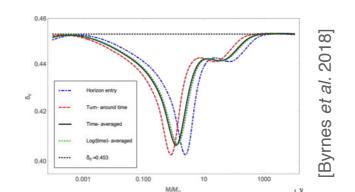
Primordial Black Hole

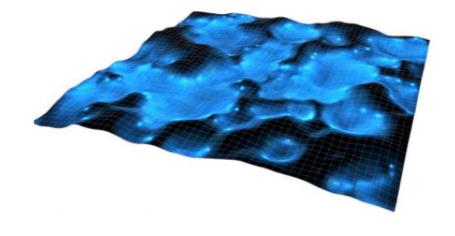
Formation

PBH Formation Mechanisms

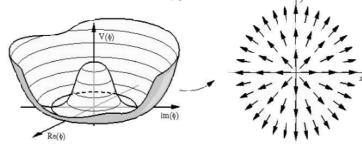
★ Large density perturbations (inflation)

★ Pressure reduction





★ Cosmic string loops

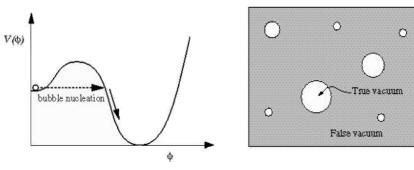


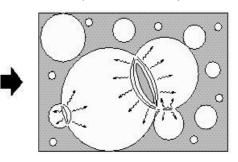


http://www.damtp.cam.ac.uk/research/gr/public/cs_phase.html

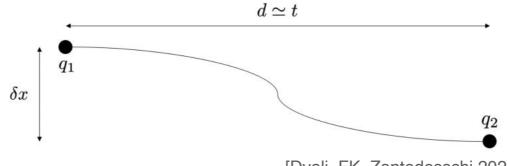
http://www.damtp.cam.ac.uk/research/gr/public/cs_top.html

★ Bubble collisions





Quark confinement

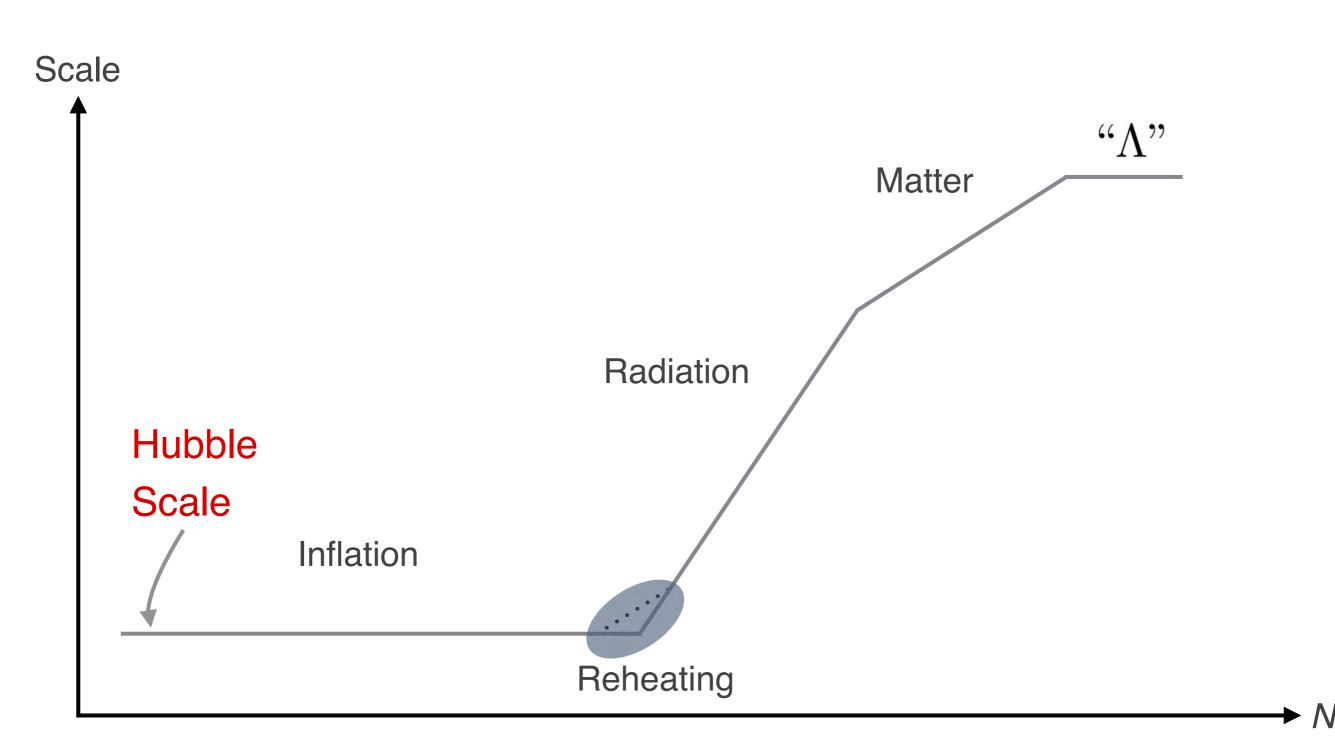


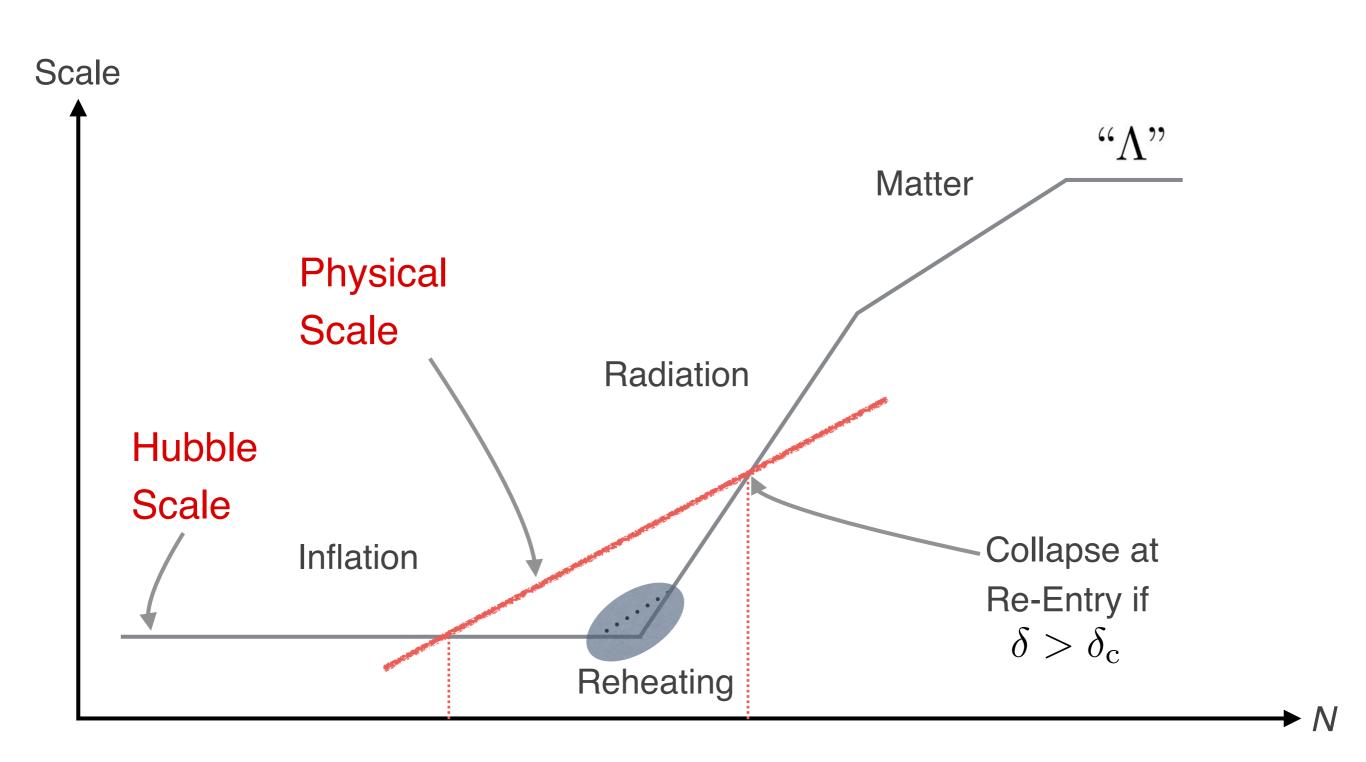
[Dvali, FK, Zantedesschi 2021]

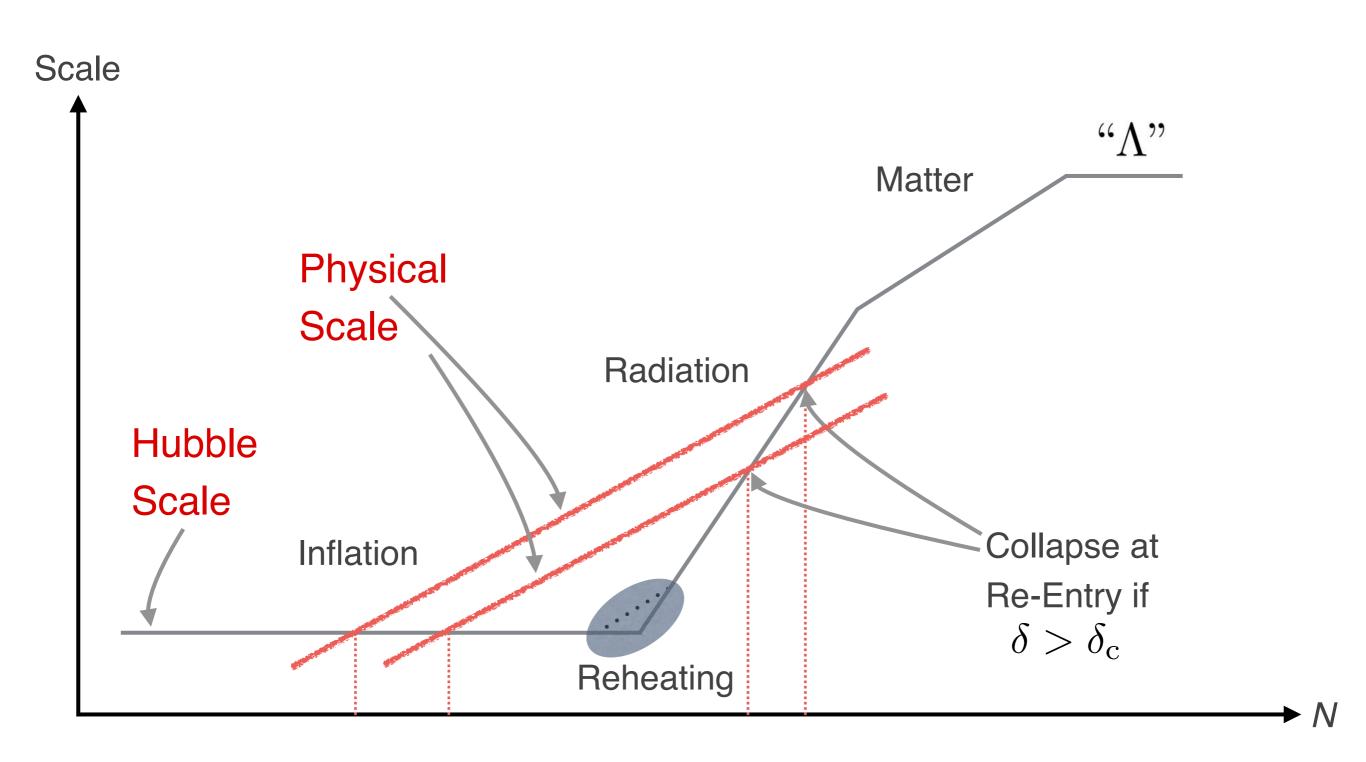


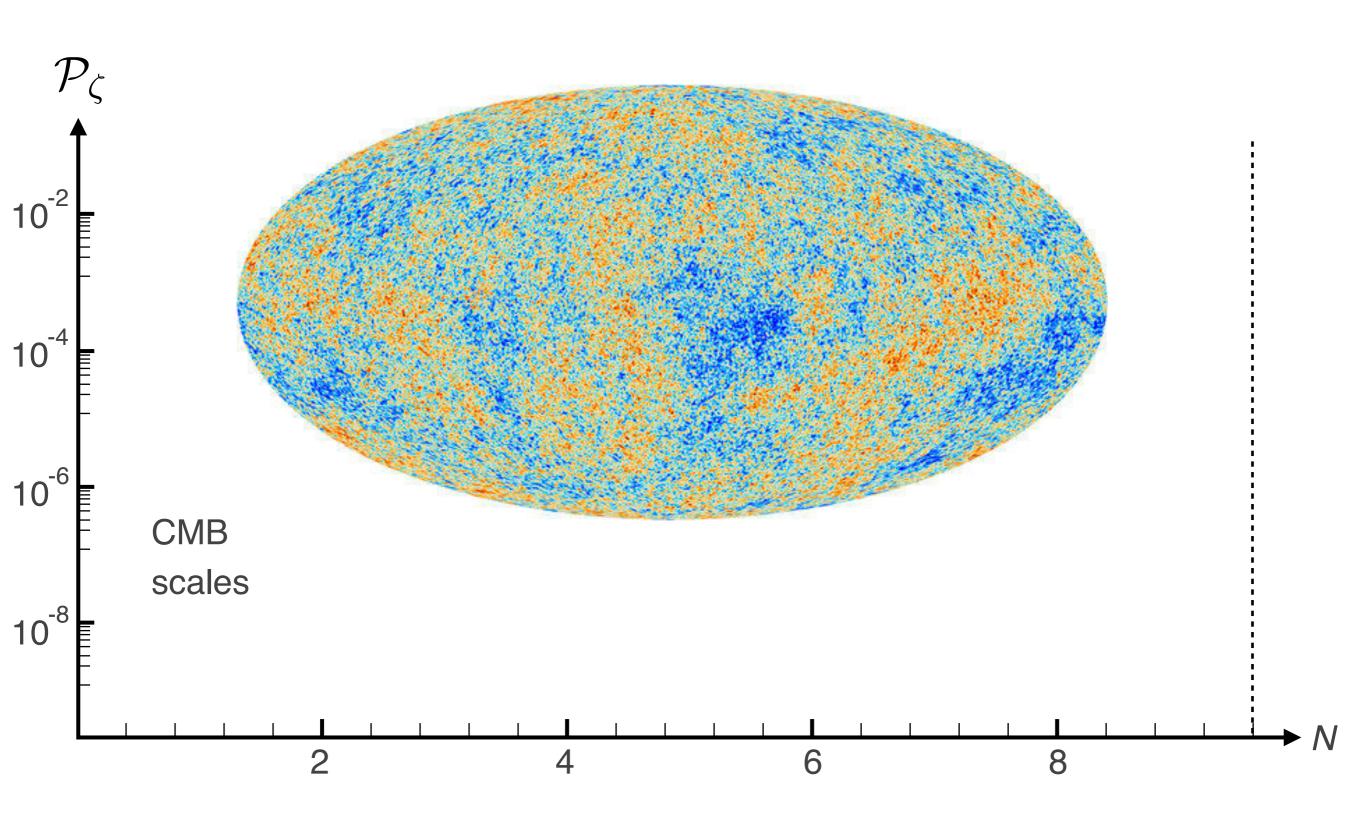
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Scale

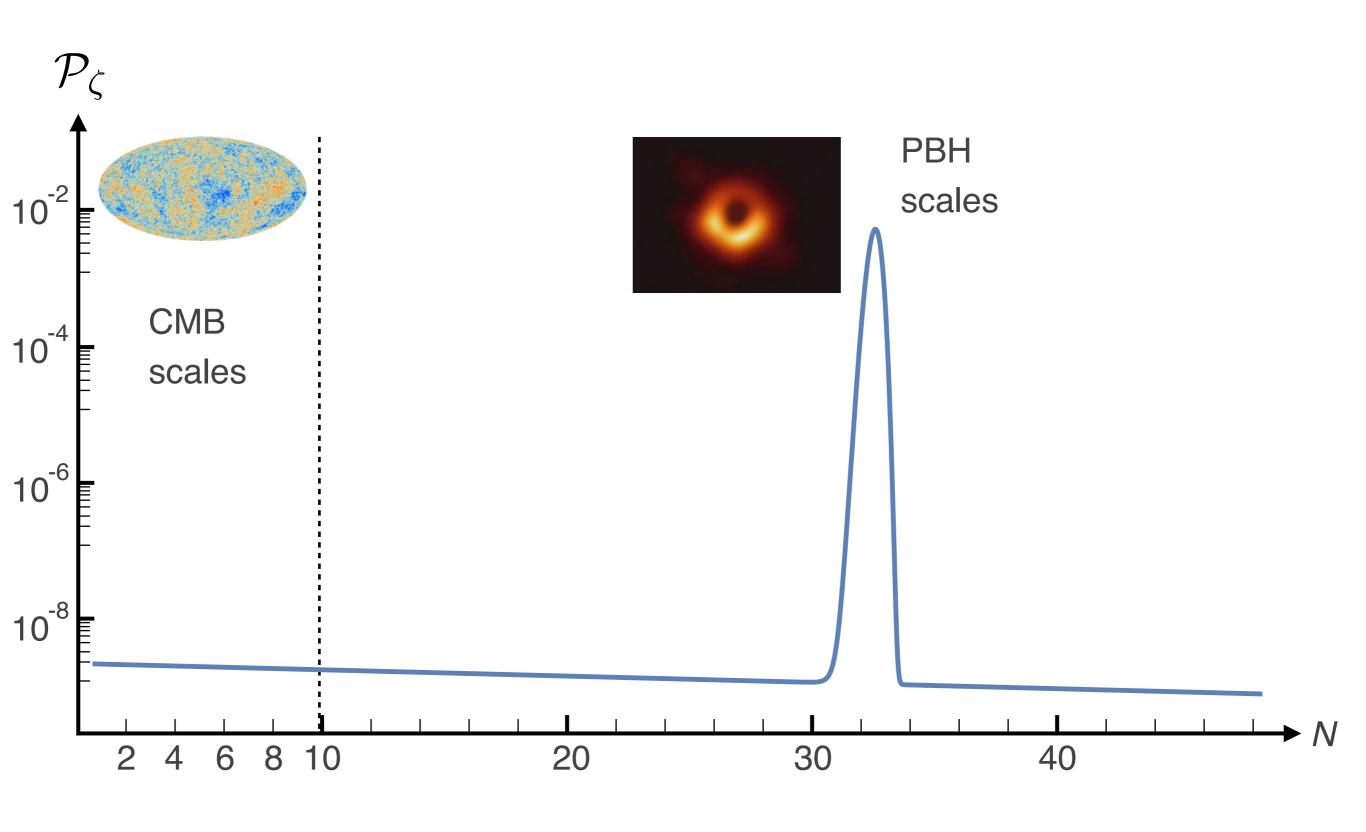




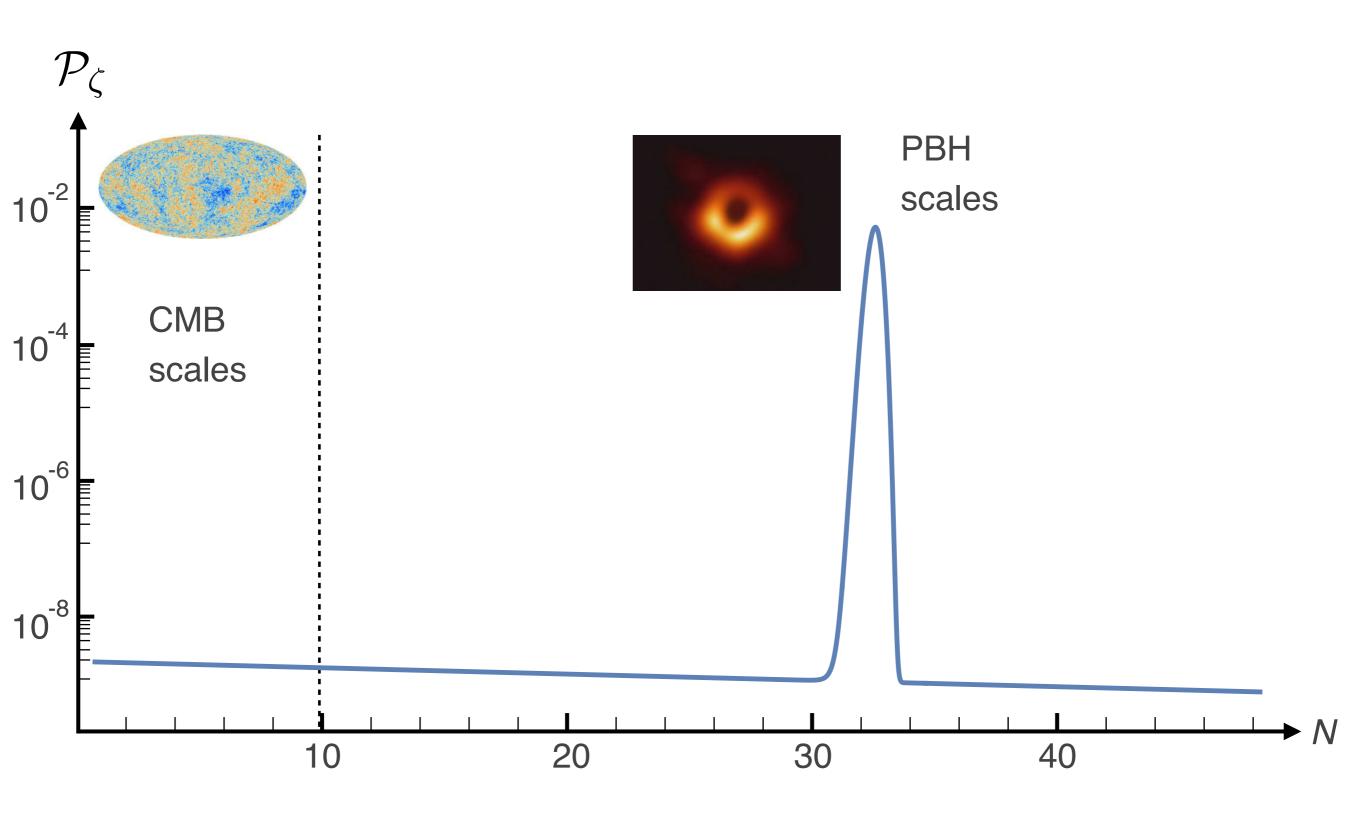




PBH Formation — Scales



PBH Formation — Scales

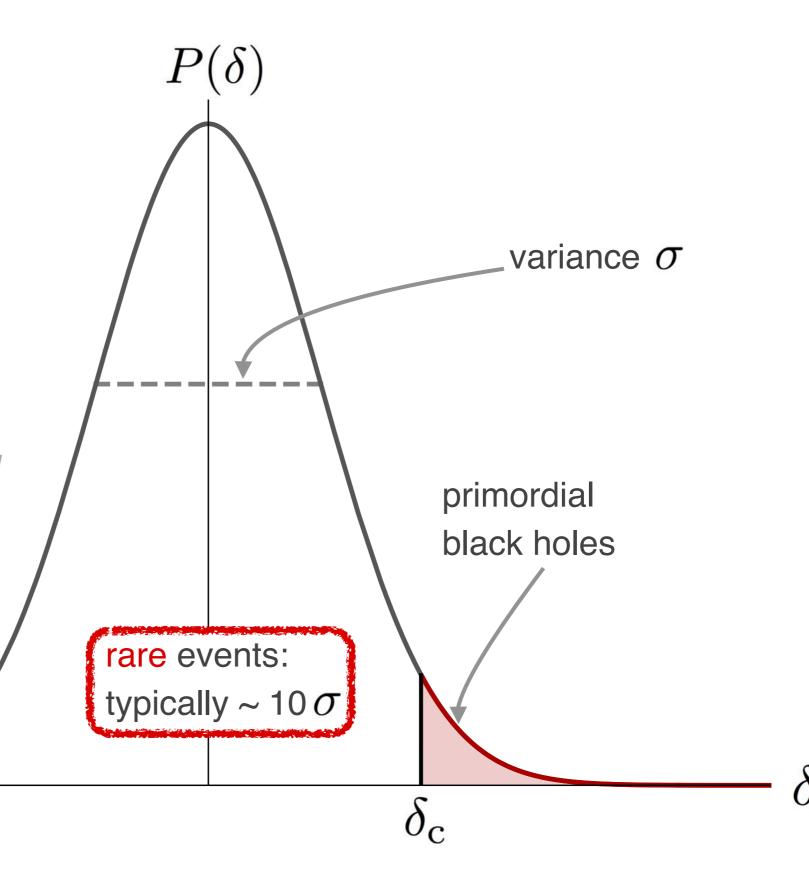


PBH Formation — Rare Events

Fraction of collapsed horizon patches:

$$\beta \sim \operatorname{erfc}\left(\frac{\delta_c}{2\,\sigma}\right)$$

Latest research points towards a shallower tail (c.f. quantum diffusion).



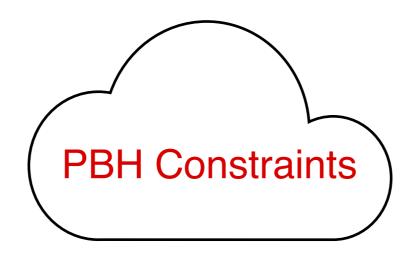
PBH — Some Numbers

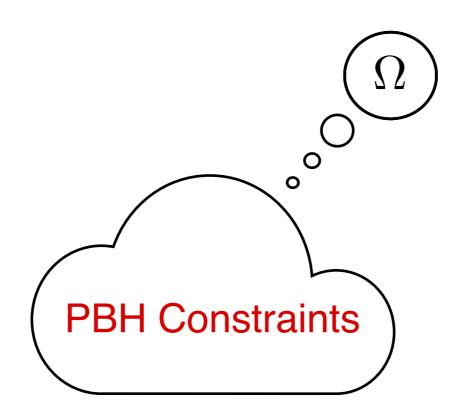
★ If primordial black holes constituted all of the dark matter:

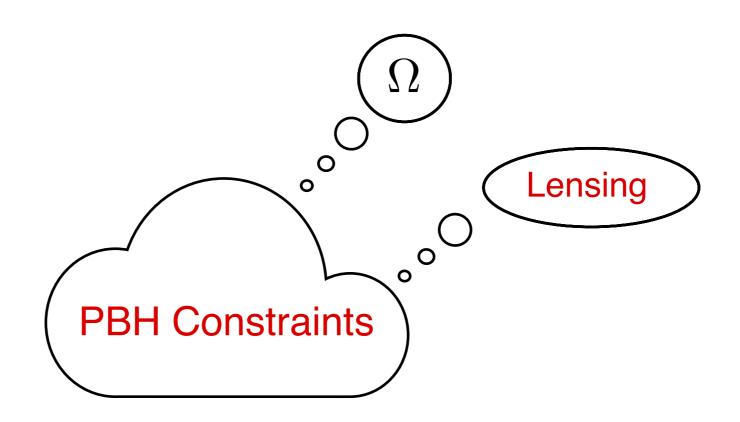
$$\bigstar$$
 Assume that all PBH have mass: $10^{20}\,\mathrm{g}$

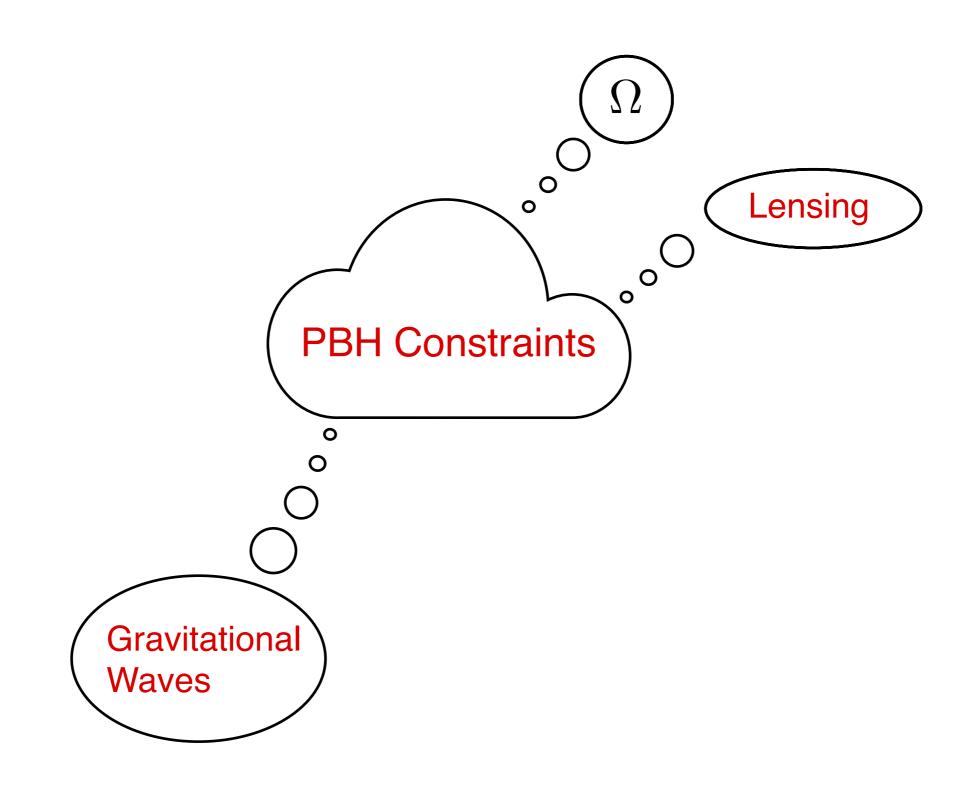
$$\star$$
 Size: $10^{-8} \,\mathrm{cm}$

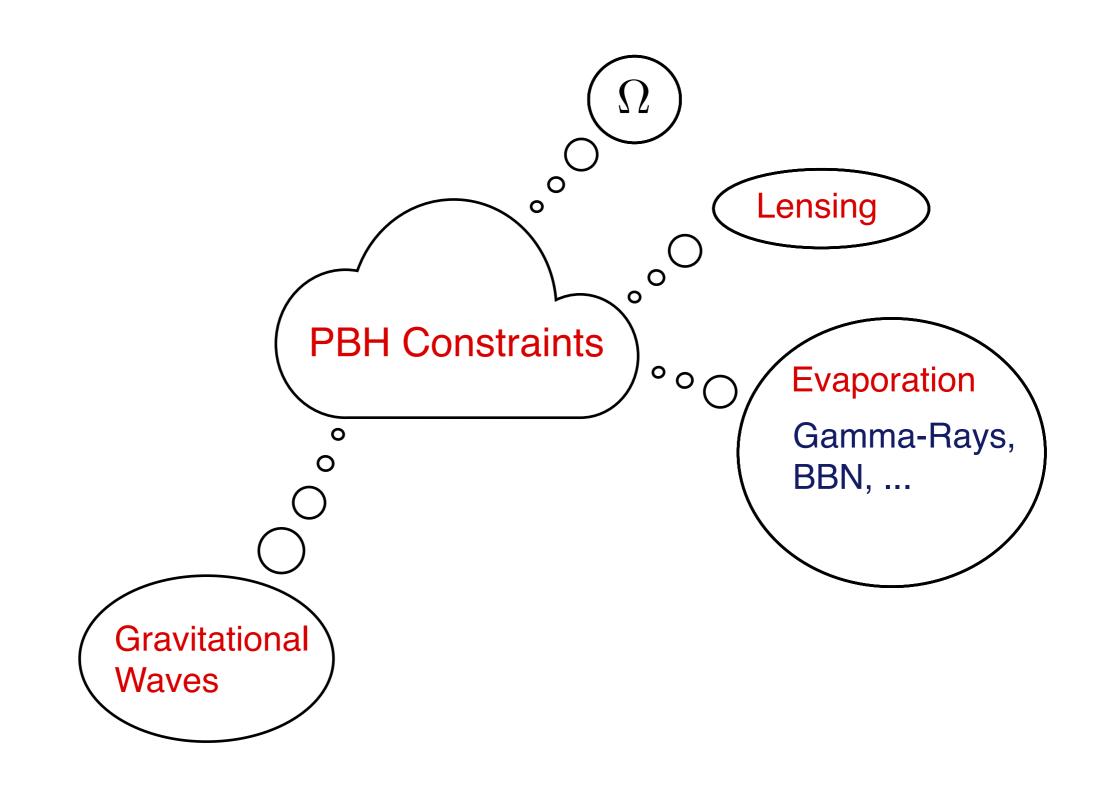
$$\bigstar$$
 Number in our Galaxy: 10^{25}

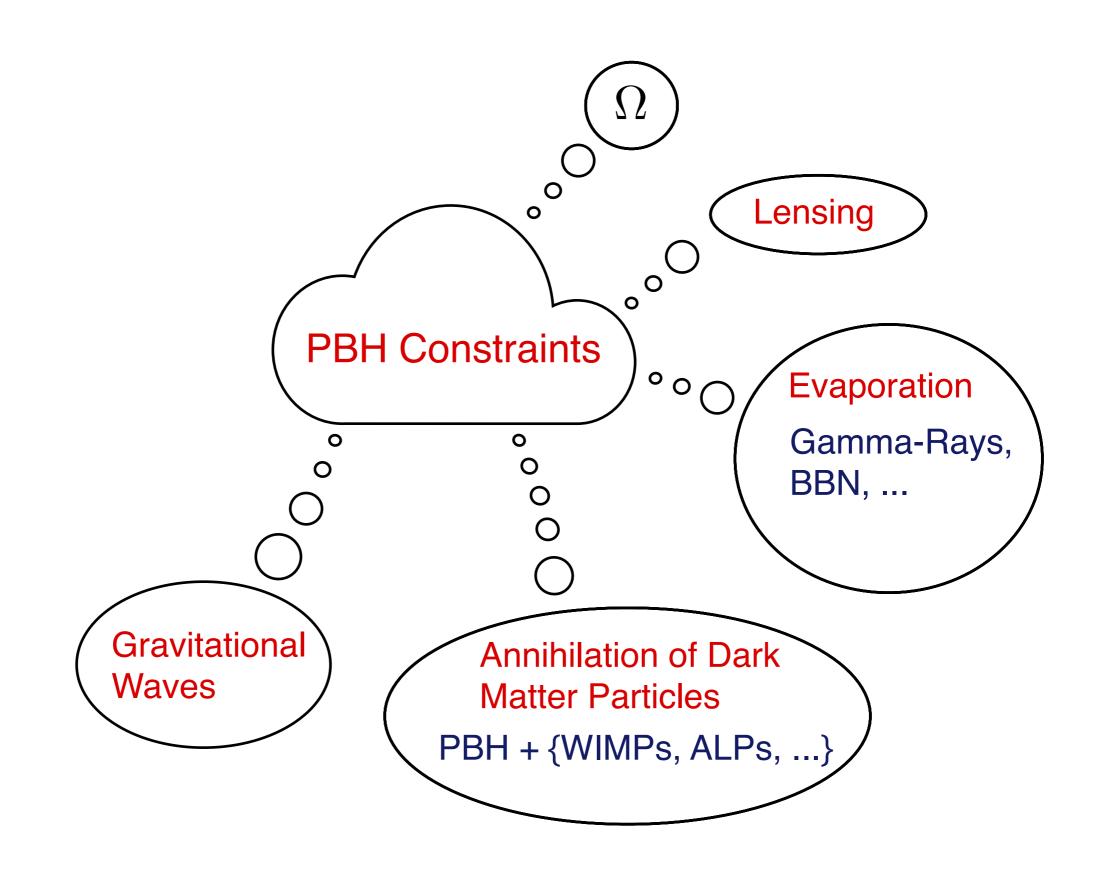


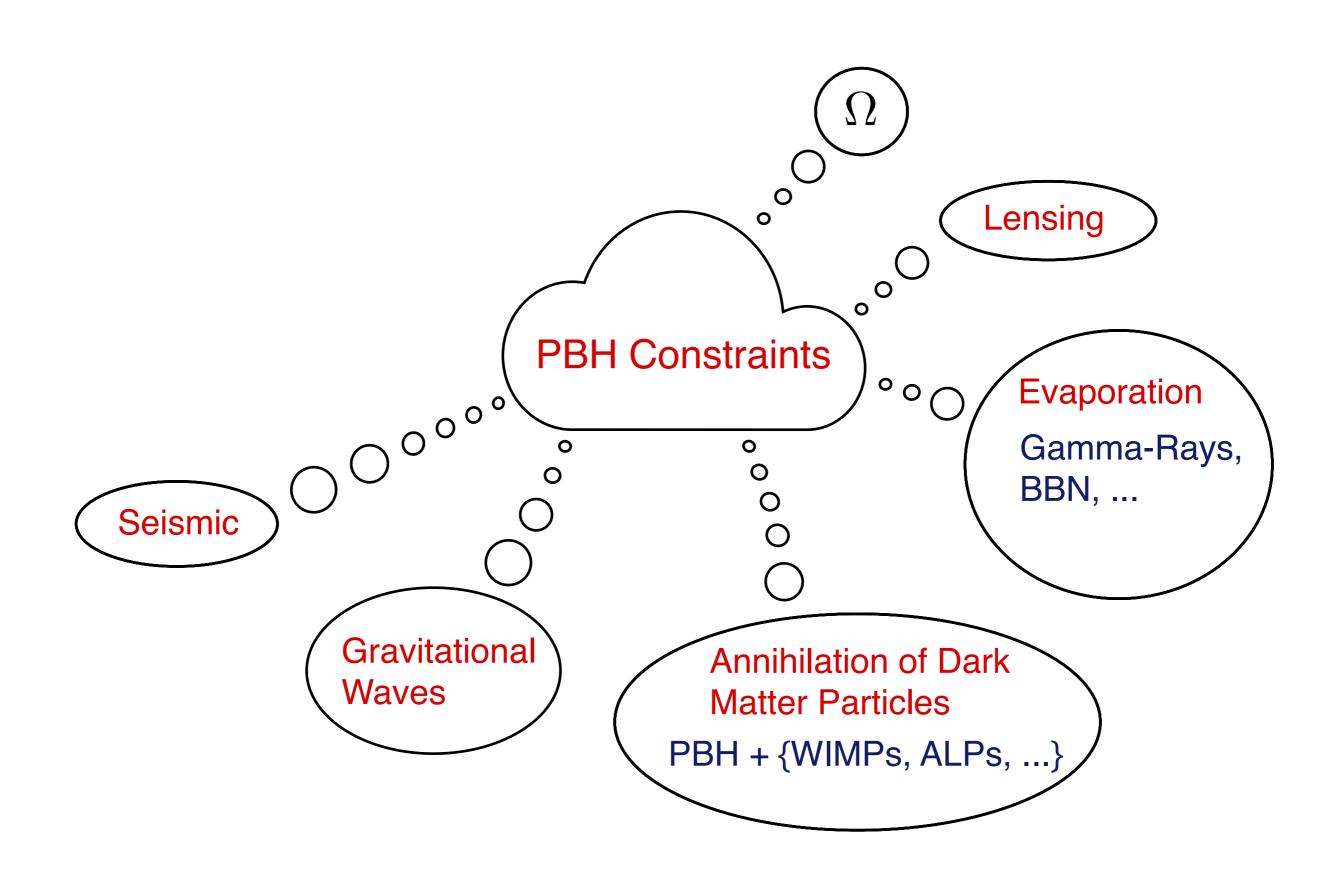


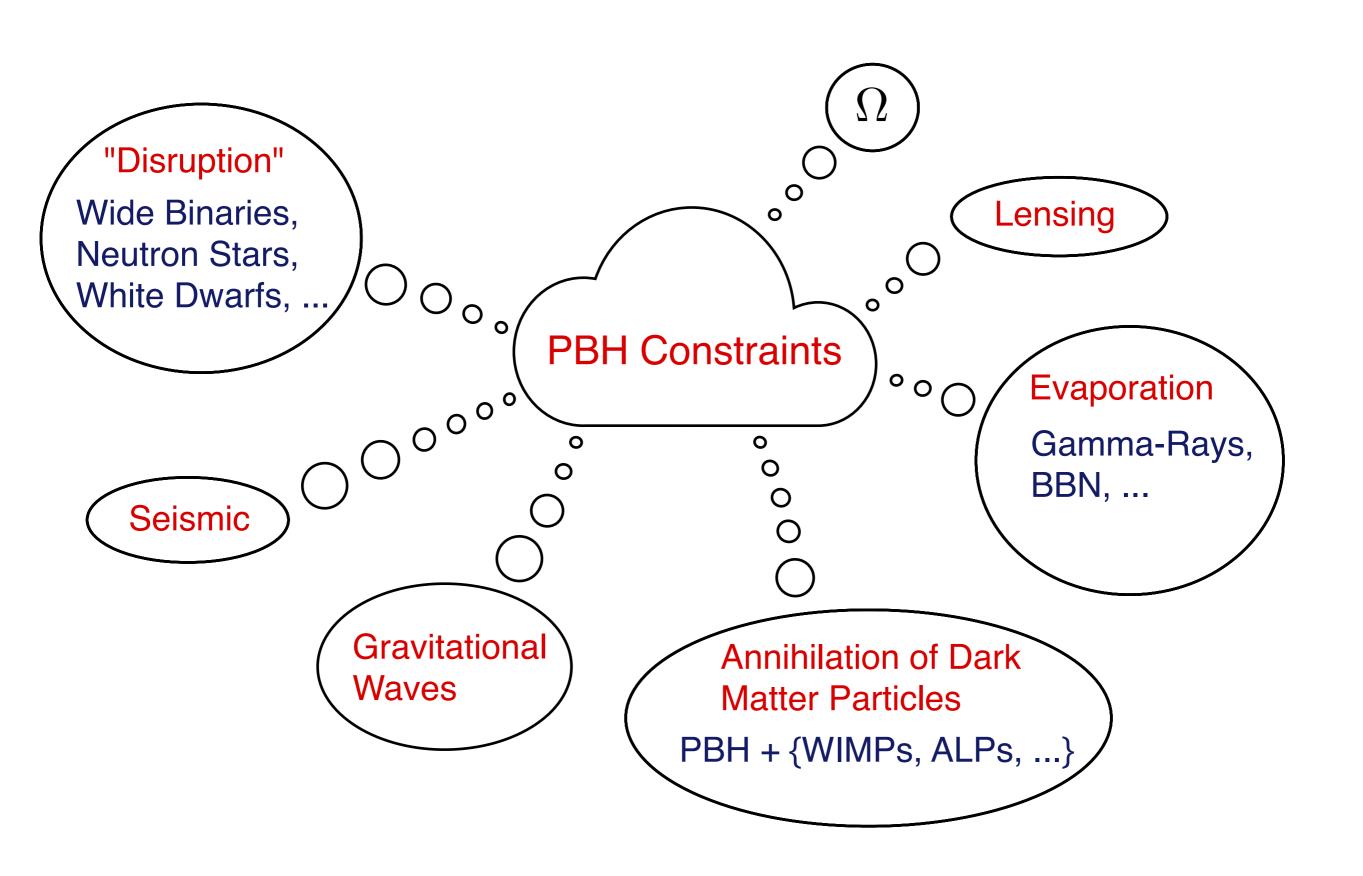


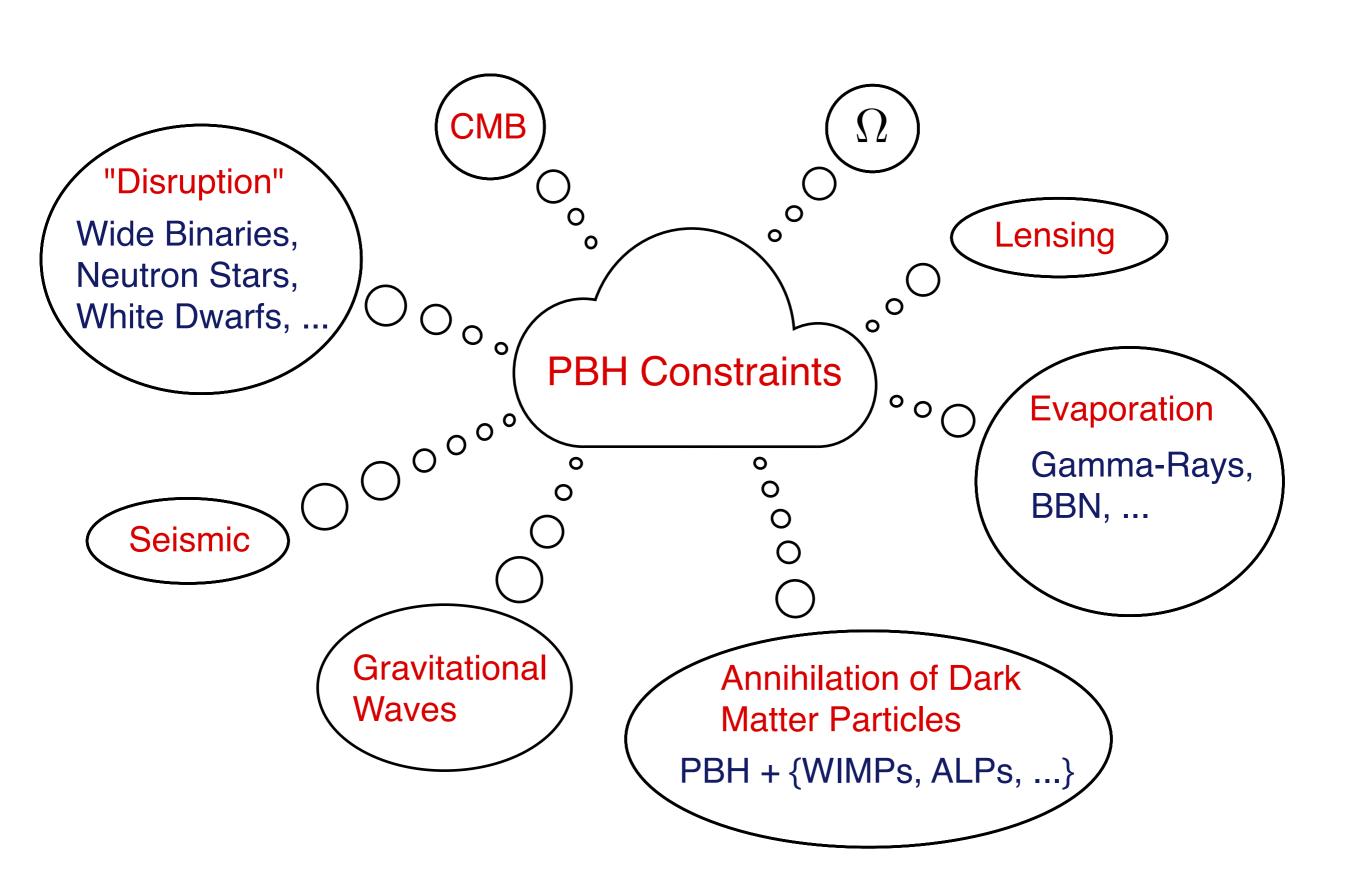


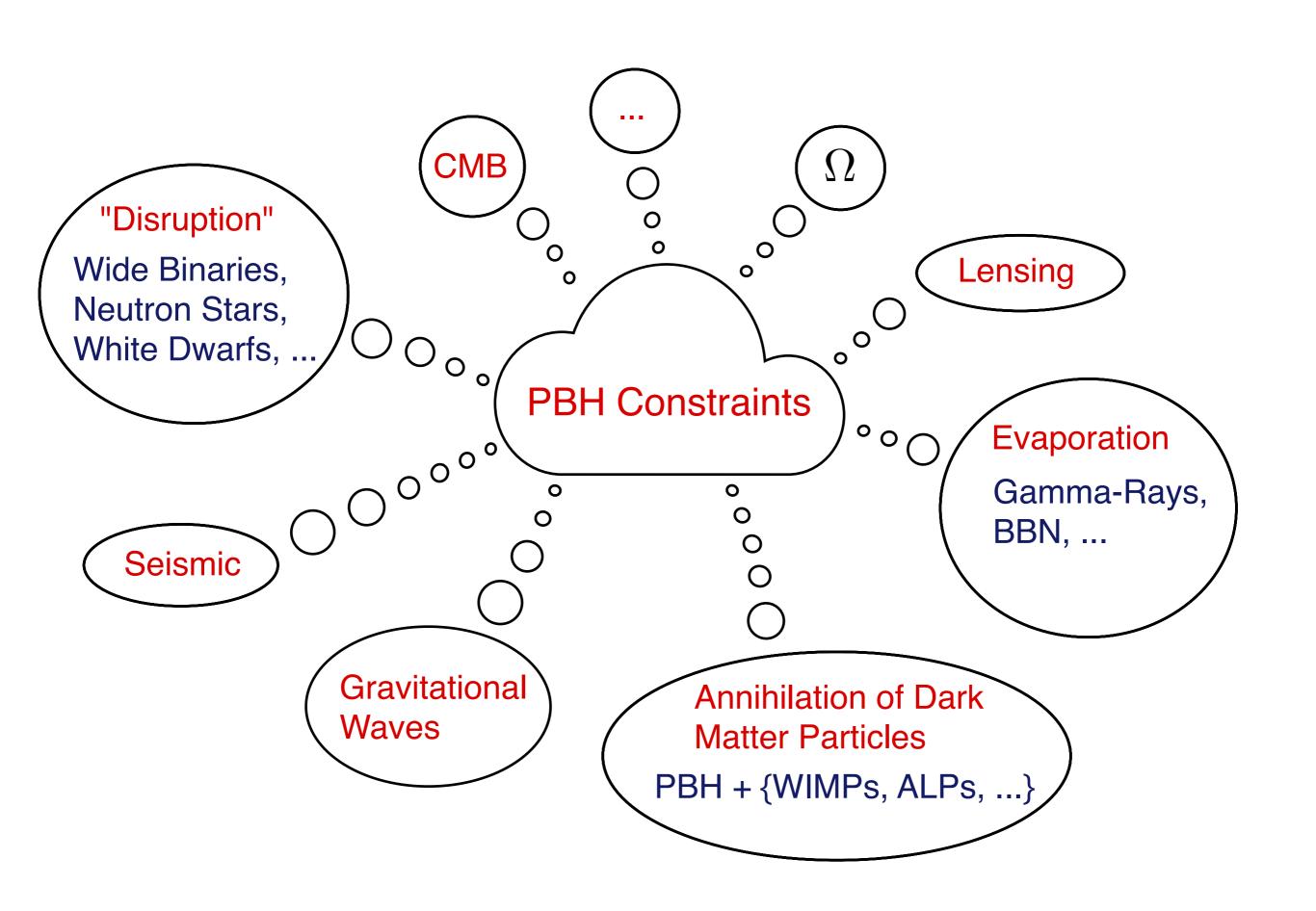




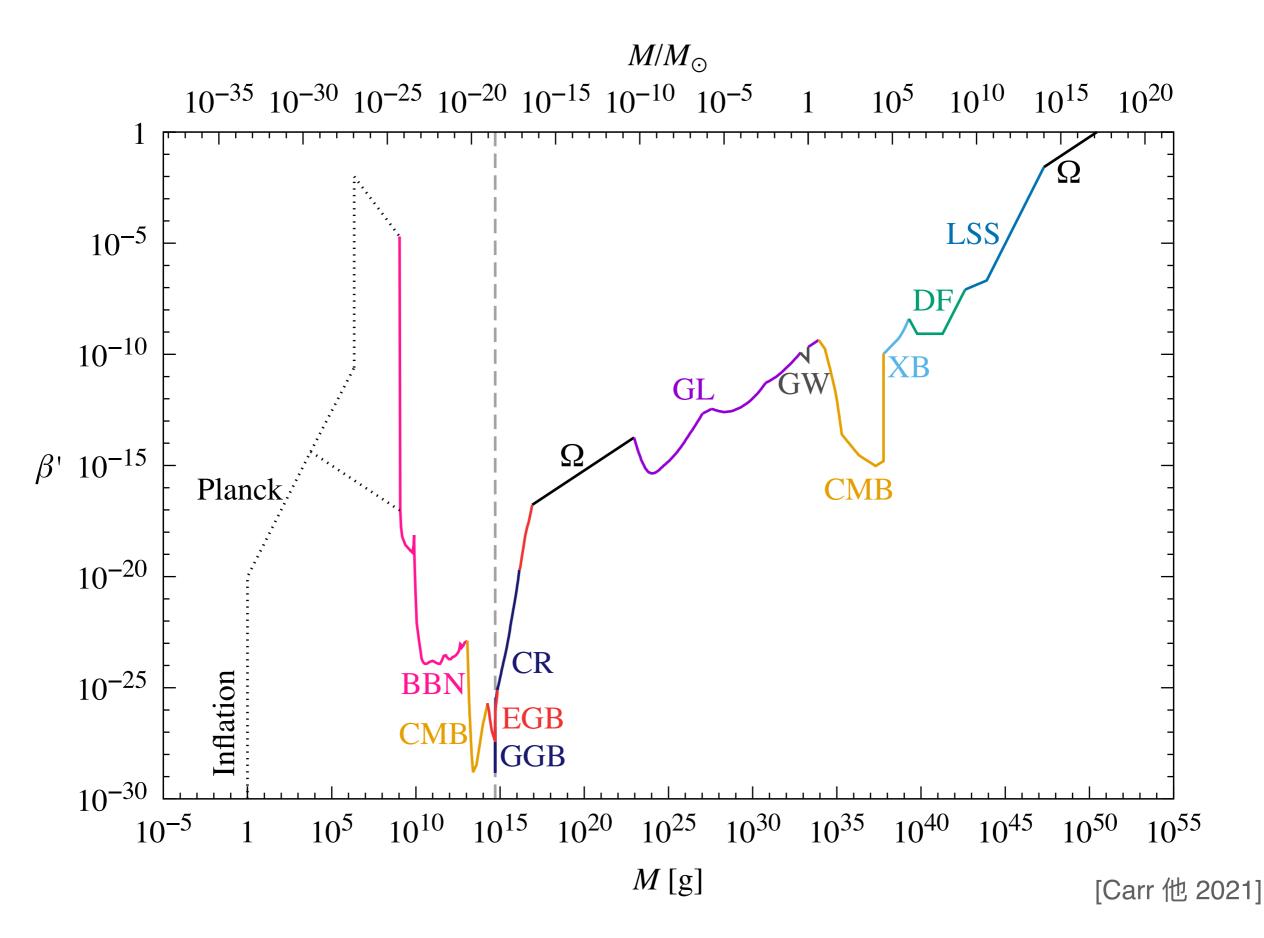




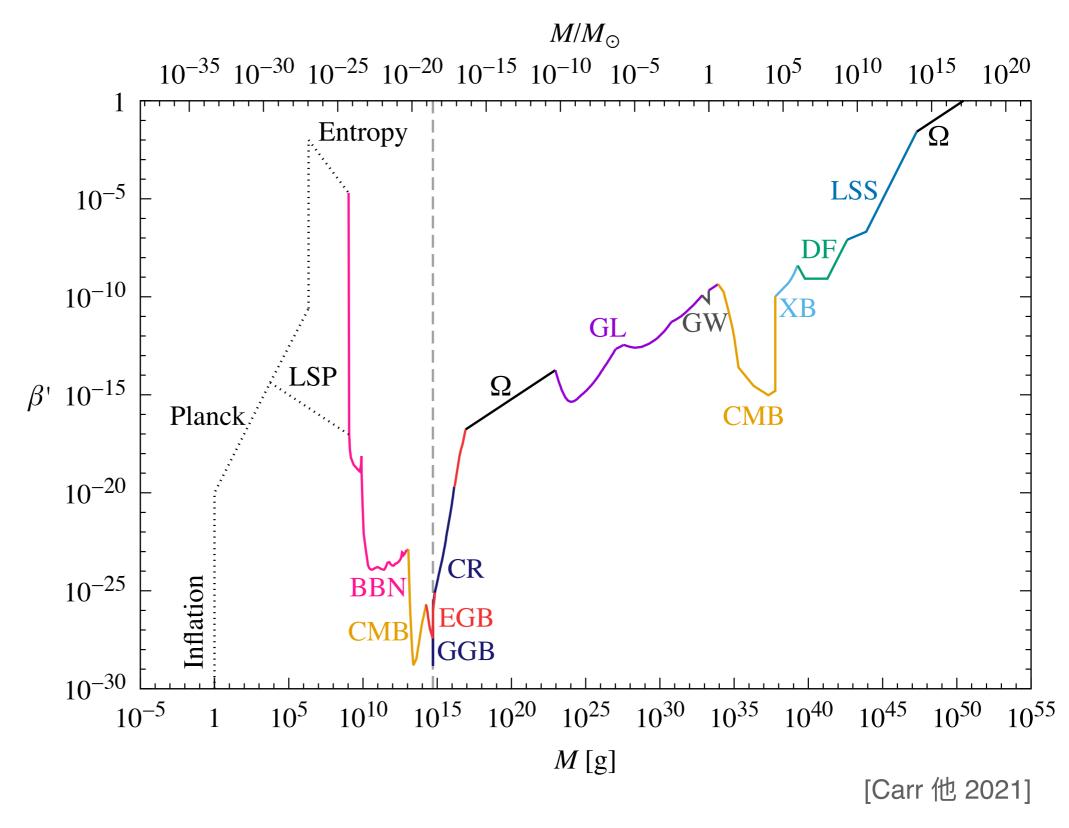




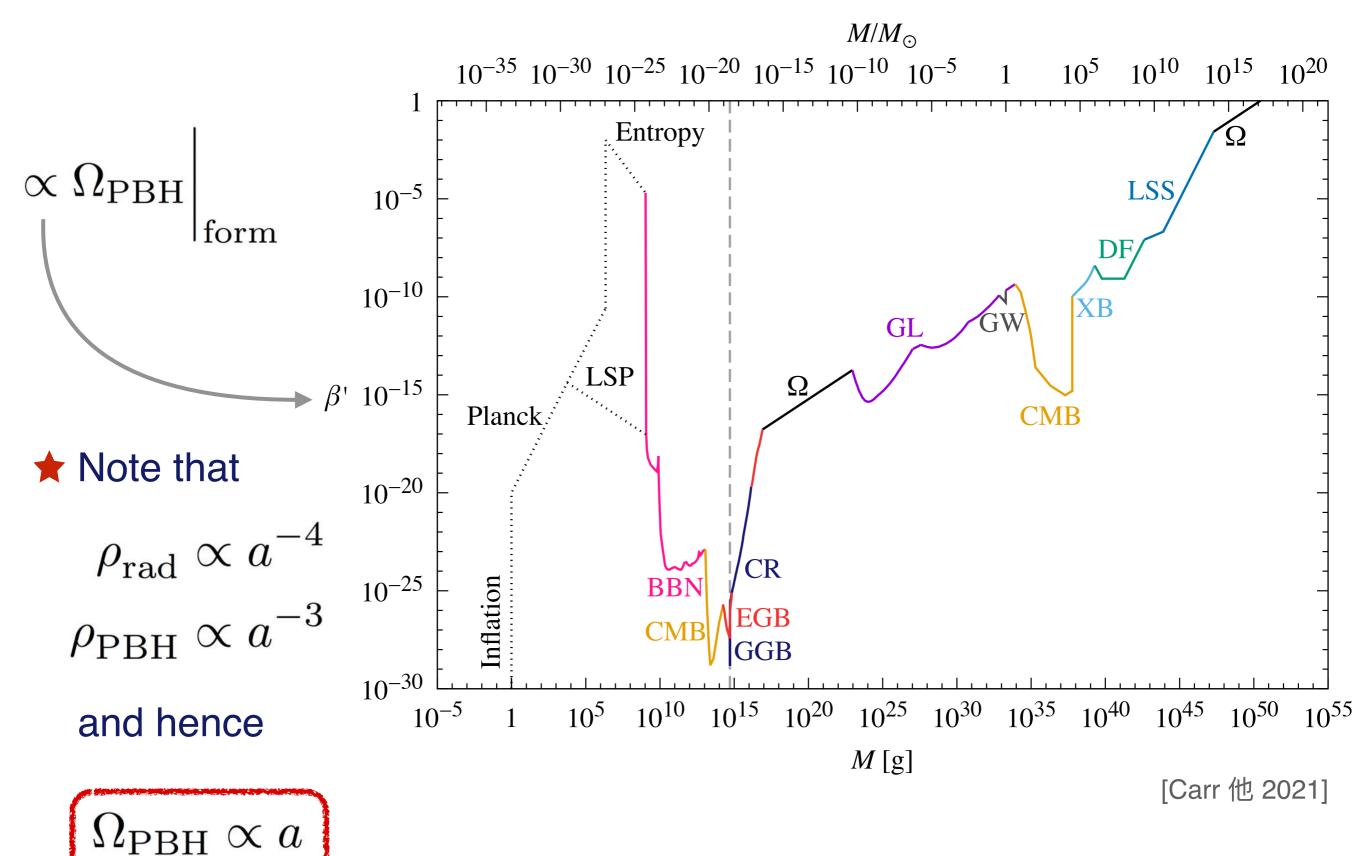
PBH Constraints at Formation



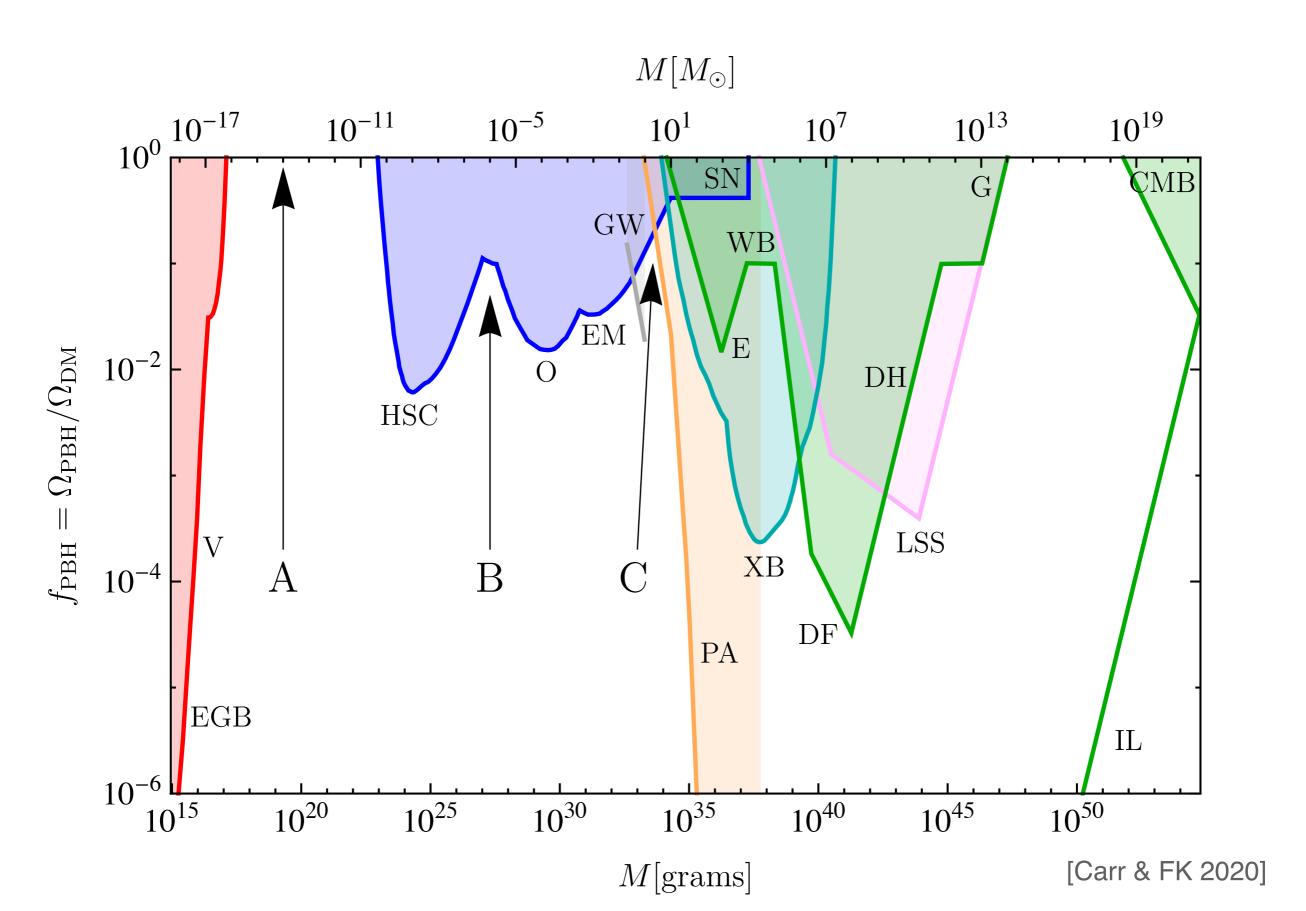
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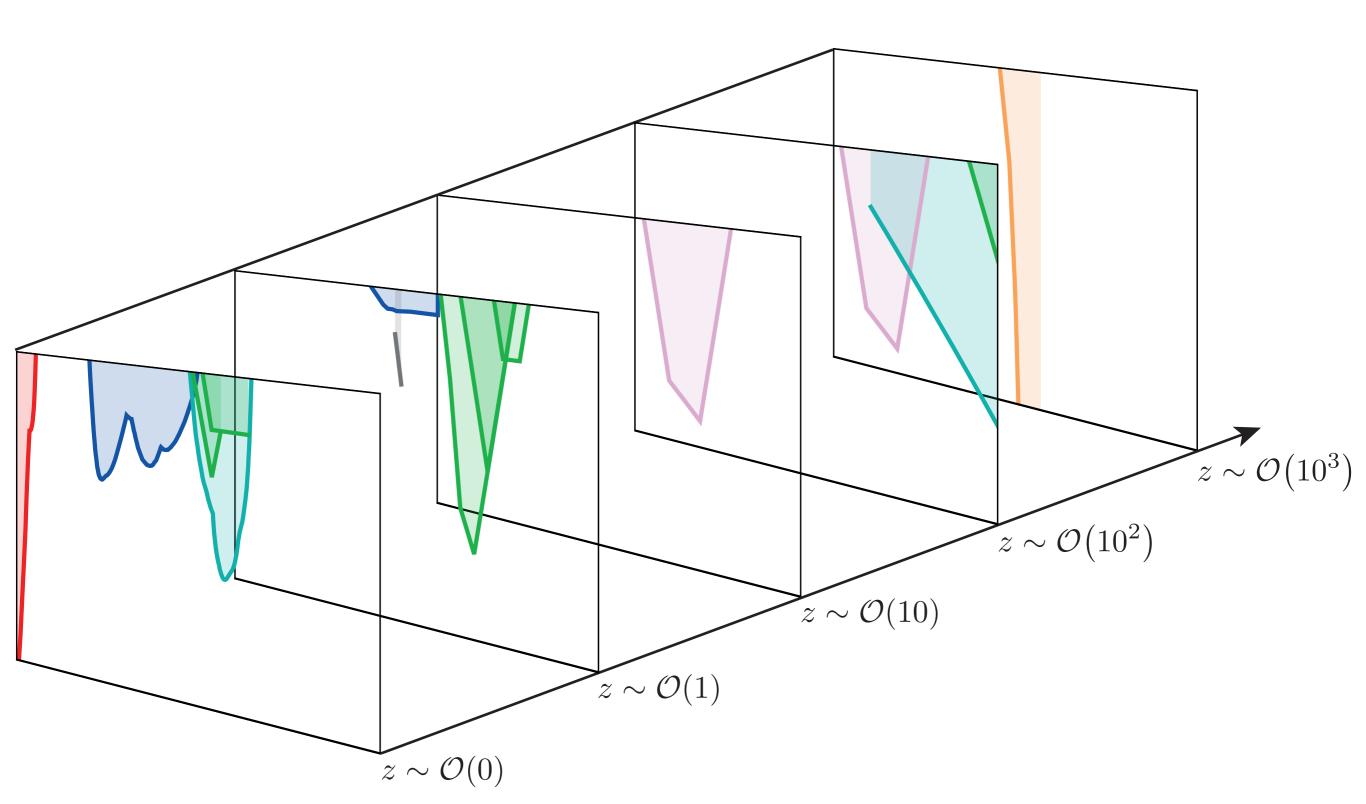
PBH Constraints at Formation



Current PBH Constraints



PBH Constraints — Redshift Dependence



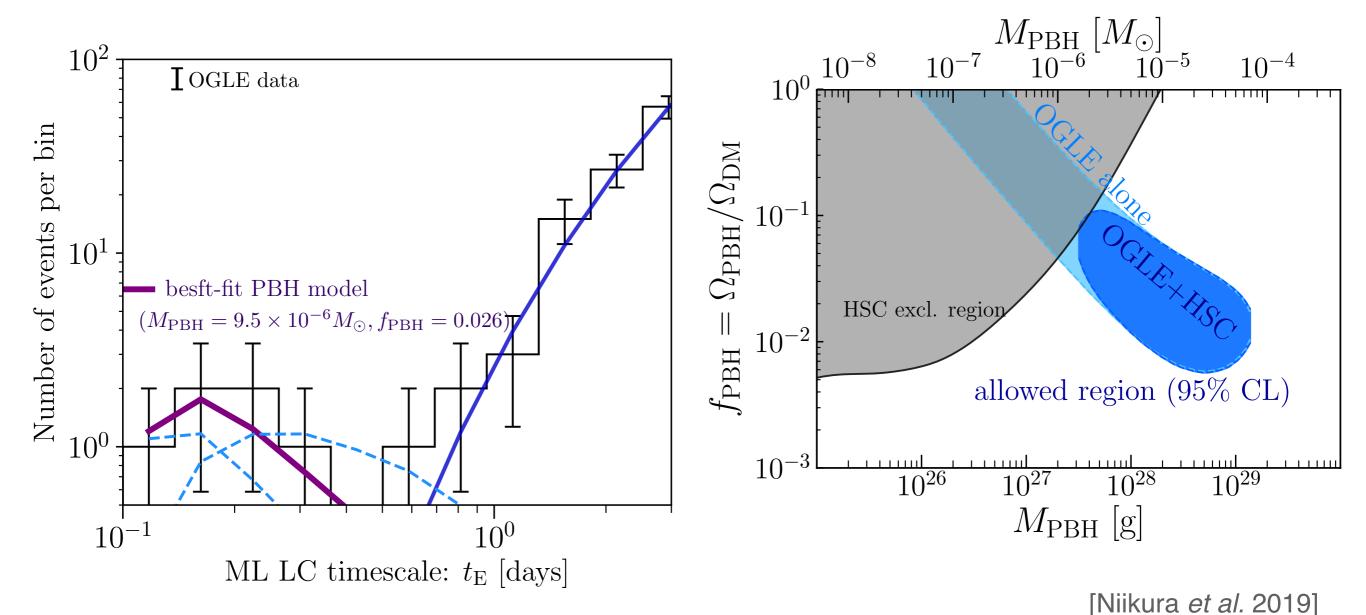
Observational Hints for Primordial Black Holes Evidence?

Observational Hints

for Primordial Black Holes

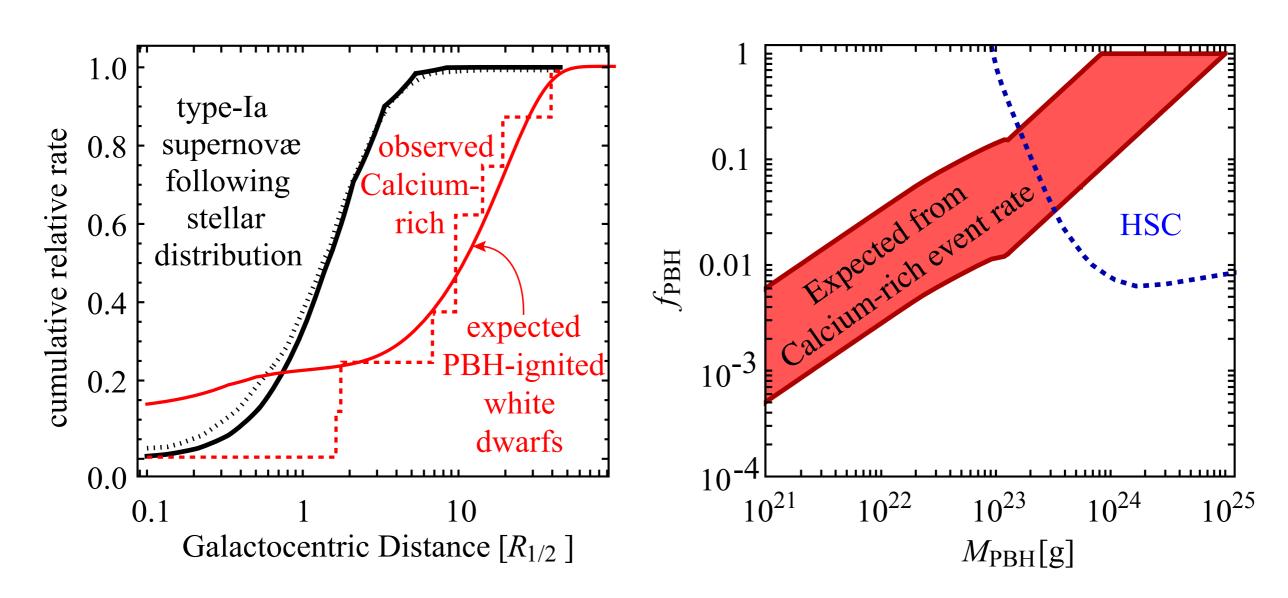
Planetary-Mass Microlensing

- ★ OGLE detected a particular population of microlensing events:
 - ★ 0.1 0.3 days light-curve timescale origin unknown! Could be free-floating planets... or PBHs!

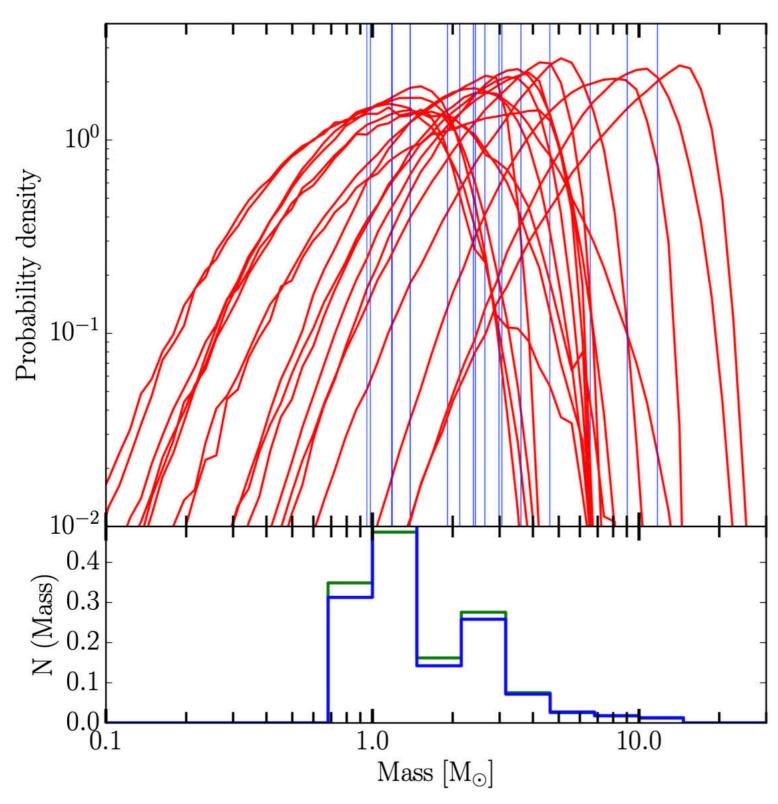


Calcium-Rich Gap Transients

★ A supernova population of so-called calcium-rich gap transients has been shown to clearly not to follow the stellar distribution but rather a would-be compact dark matter one.

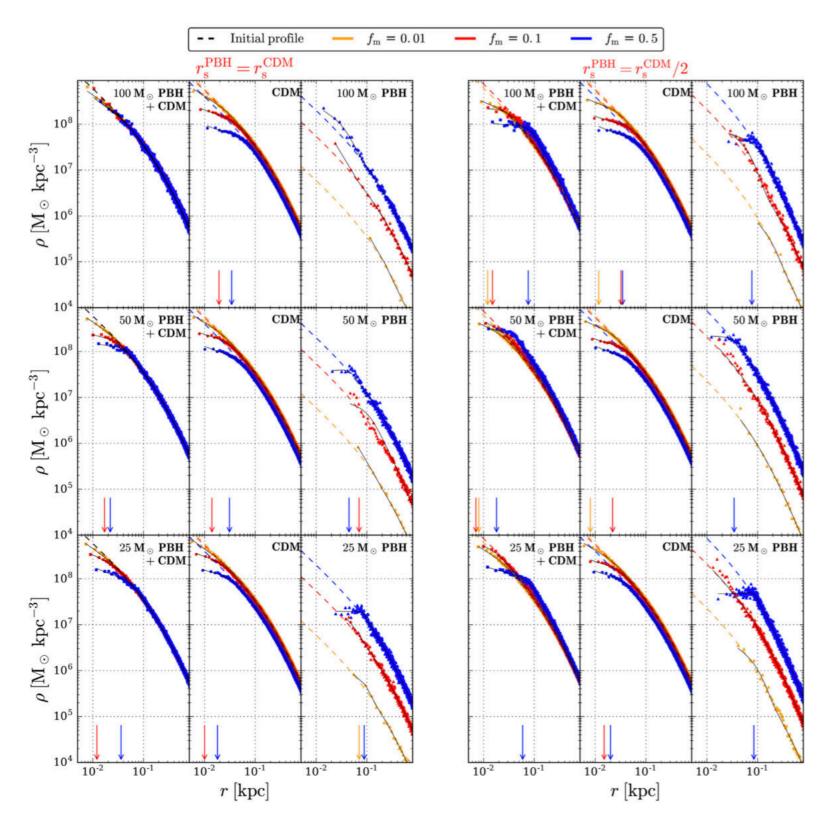


Excess of Lenses in Galactic Bulge



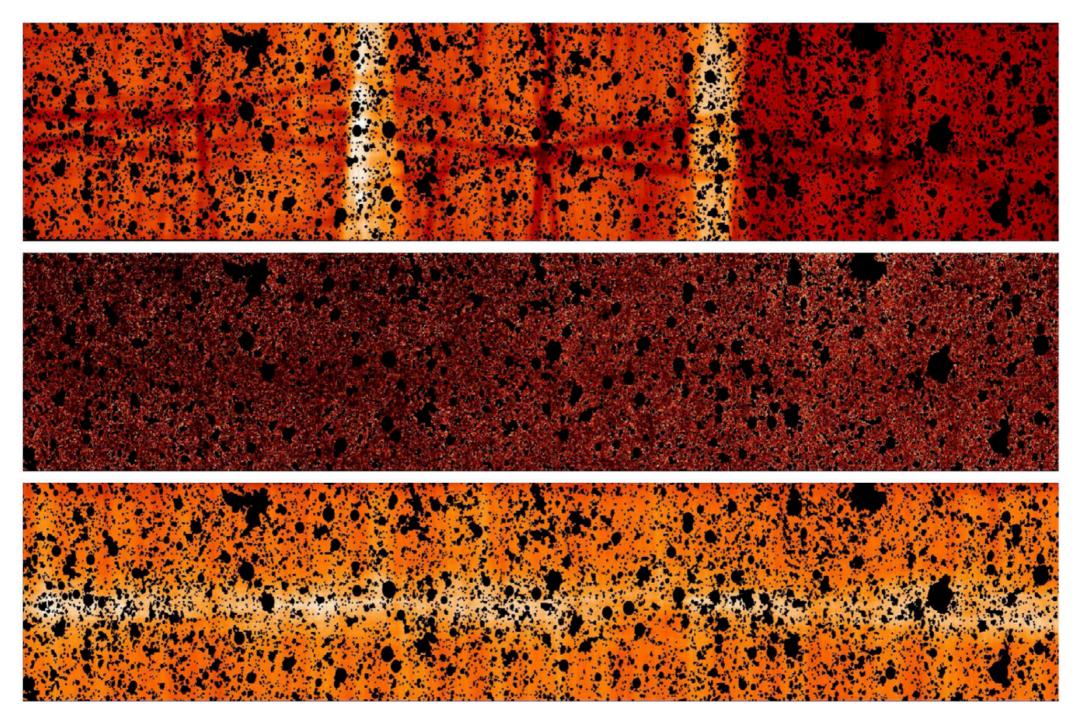
- ★ OGLE has detected 58 long-duration microlensing events in the Galactic bulge.
- ★ 18 of these cannot be main-sequence stars and are very likely black holes.
- ★ Their mass function overlaps the low mass gap from 2 to 5 M_{\odot} .
- ★ These are not expected to form as the endpoint of stellar evolution.

Ultra-faint Dwarf Galaxies



- ★ Non-detection of dwarf galaxies smaller than ~ 10 – 20 pc
- ★ Ultra-faint dwarf galaxies are dynamically unstable below some critical radius in the presence of PBH CDM!
- ★ This works with a few percent of PBH DM of $25-100~M_{\odot}$.

Correlations of Cosmic Infrared | X-Ray Backgrounds



[Capelluti et al. 2013]

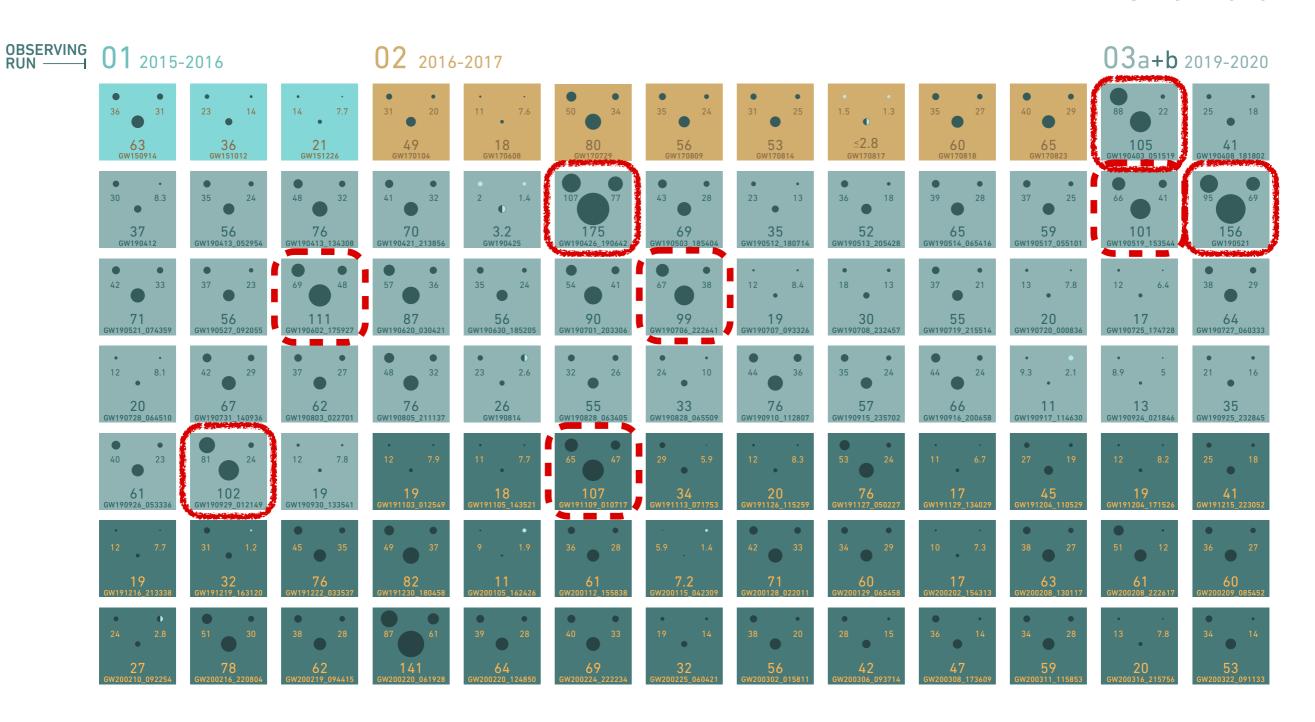
★ PBHs generate early structure and respective backgrounds

→ SINCE 2015

02 2016-2017 03a+b 2019-2020 3.2 GW190707_093326 GW190727_060333



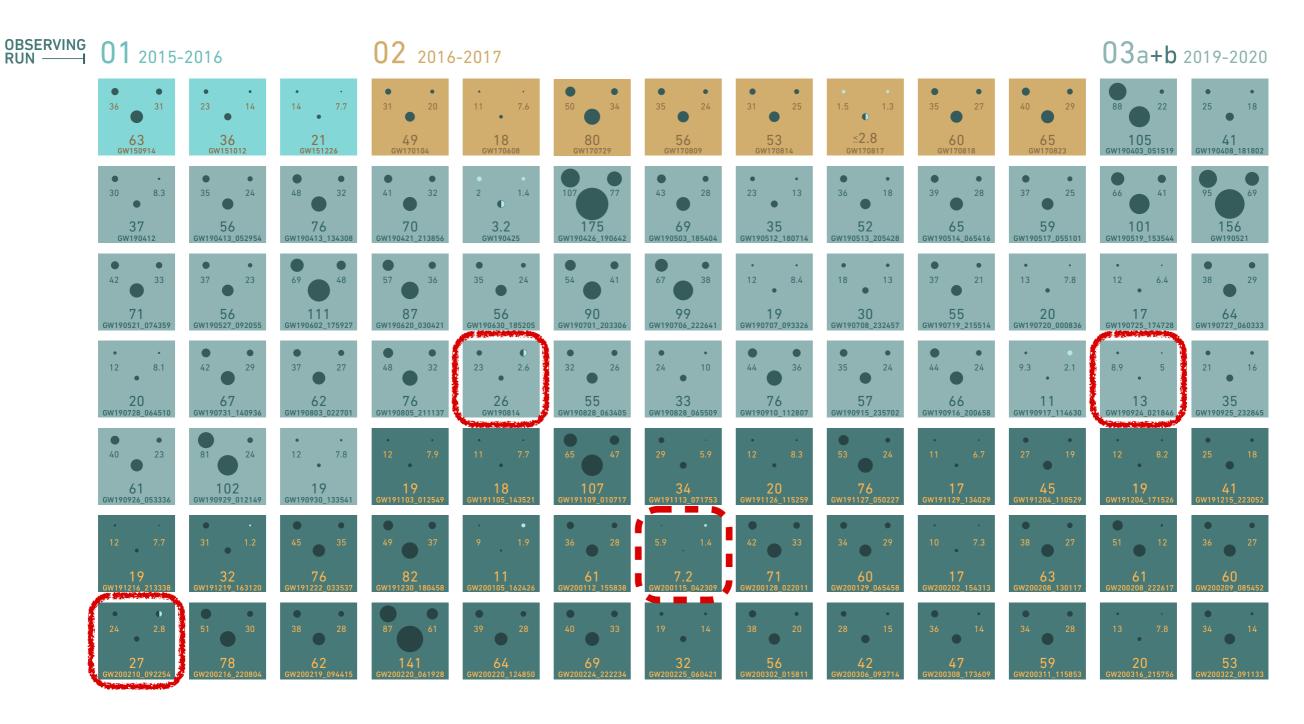
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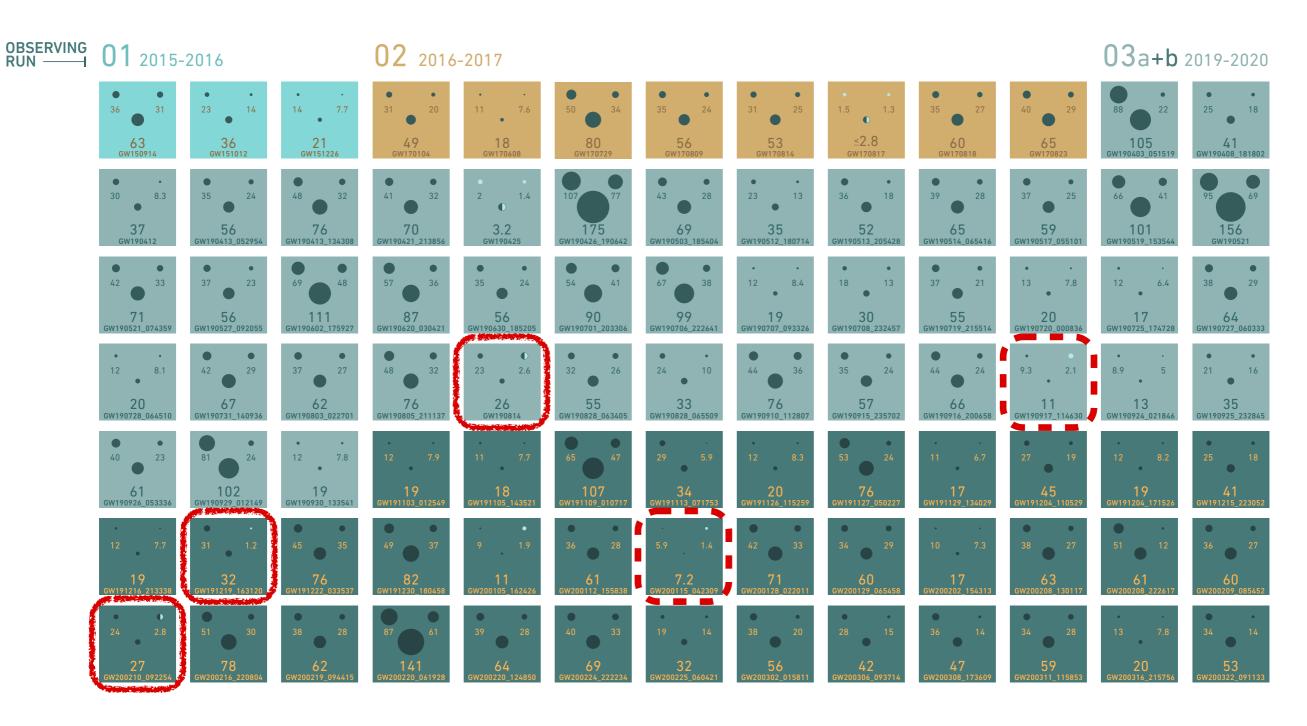
SINCE 2015







SINCE 2015







THE ASTROPHYSICAL JOURNAL LETTERS, 896:L44 (20pp), 2020 June 20 © 2020. The American Astronomical Society.

https://doi.org/10.3847/2041-8213/ab960f





GW190814: Gravitational Waves from the Coalescence of a 23 Solar Mass Black Hole with a 2.6 Solar Mass Compact Object

R. Abbott, [...]

Abstract

We report the observation of a compact binary coalescence involving a 22.2-24.3 M_{\odot} black hole and a compact object with a mass of 2.50–2.67 M_{\odot} [...] the combination of mass ratio, component masses, and the inferred merger rate for this event challenges all current models of the formation and mass distribution of compact-object binaries.



Asymmetric black hole progenitors (mass ratio q < 0.25)



Subsolar Black Holes - The Smoking Gun!

★ Recent reanalysis of LIGO data by Phukon et al. '21 with updated merger rates and low mass ratios:

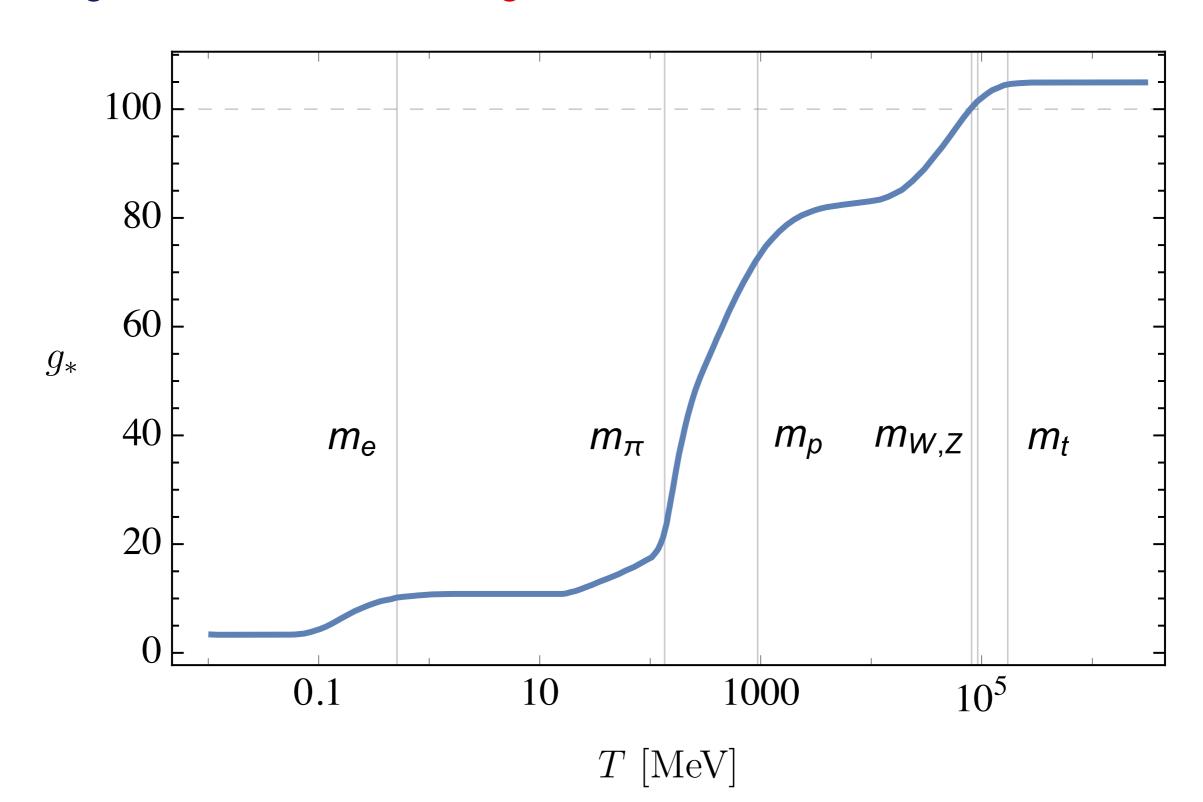
				The second secon
$\overline{\mathrm{FAR}\;[\mathrm{yr}^{-1}]}$	$\ln \mathcal{L}$	UTC time	${\rm mass} \ 1 \ [M_{\odot}]$	${\rm mass} 2 [M_{\odot}]$
0.1674	8.457	2017-03-15 15:51:30	3.062	0.9281
0.2193	8.2	2017-07-10 17:52:43	2.106	0.2759
0.4134	7.585	2017-04-01 01:43:34	4.897	0.7795
1.2148	6.589	2017-03-08 07:07:18	2.257	0.6997
25				

- ★ Four subsolar candidates with SNR > 8 and a FAR < 2 yr⁻¹
- ★ Note that an order-one dark matter fraction of subsolar PBHs is still possible!

Thermal History

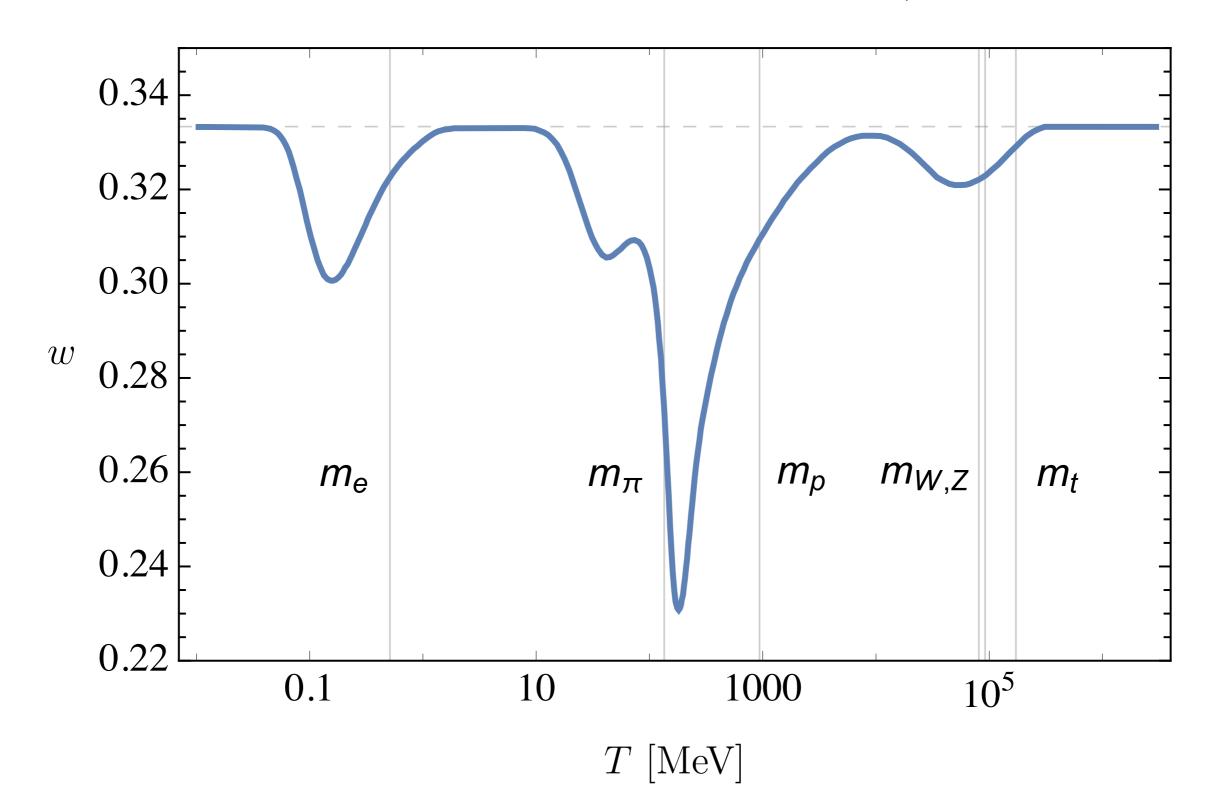
Thermal History of the Universe

★ Changes in the relativistic degrees of freedom:



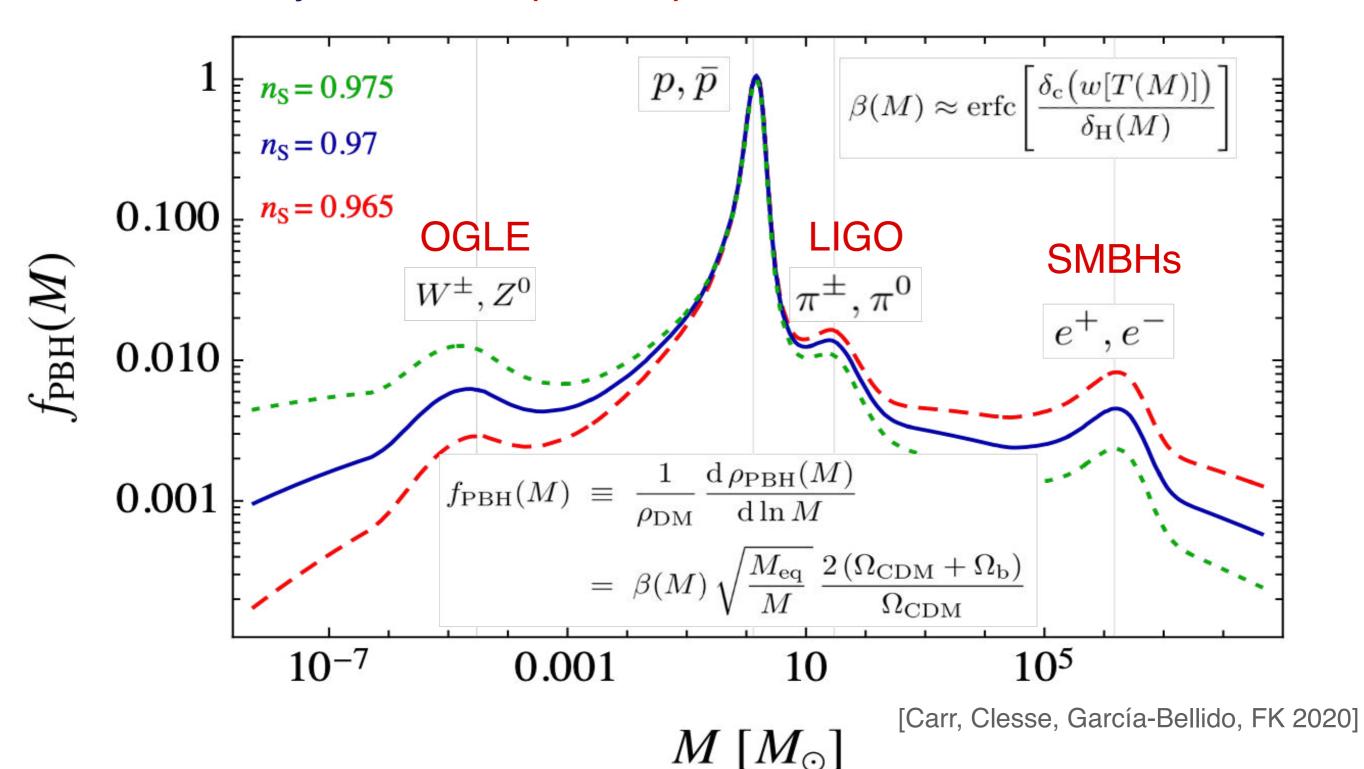
Thermal History of the Universe

 \bigstar Changes in the equation-of-state parameter $w=p/\rho$:



Thermal History of the Universe

An essentially featureless power spectrum leads to:



Primordial Black Holes

from Confinement

work with Dvali & Zantedeschi

Important Issues

★ The standard approach of PBH formation has two main issues:

★ In order to have a given percentage of PBH dark matter requires exponential fine-tuning.

★ PBH formation happens in the strong-coupling regime.

A New Approach

★ We propose a novel PBH formation mechanism which is

* assumption-minimal,

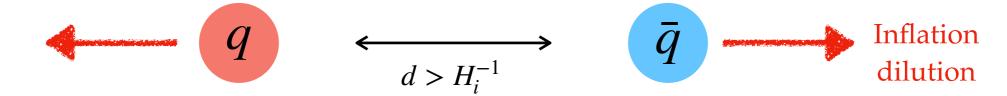
free of exponential fine-tuning,

* avoids strong coupling,

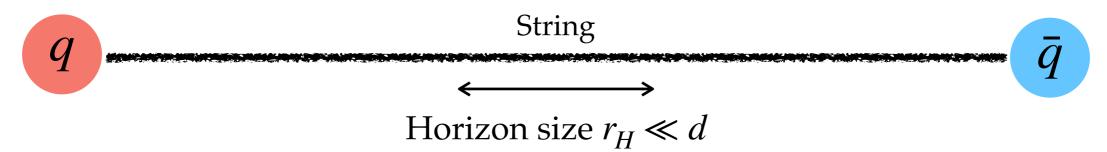
★ works with standard QCD*,

★ compatible with observations.

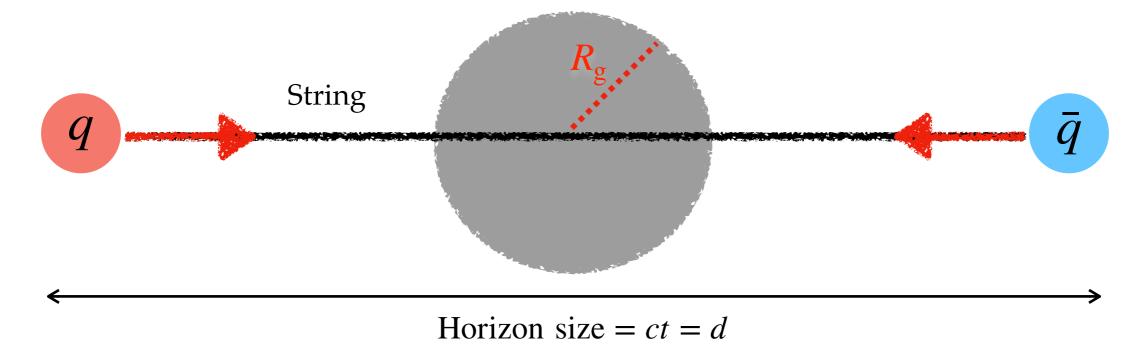
★ 1. Ingredient: de Sitter fluctuations produce quarks during inflation.



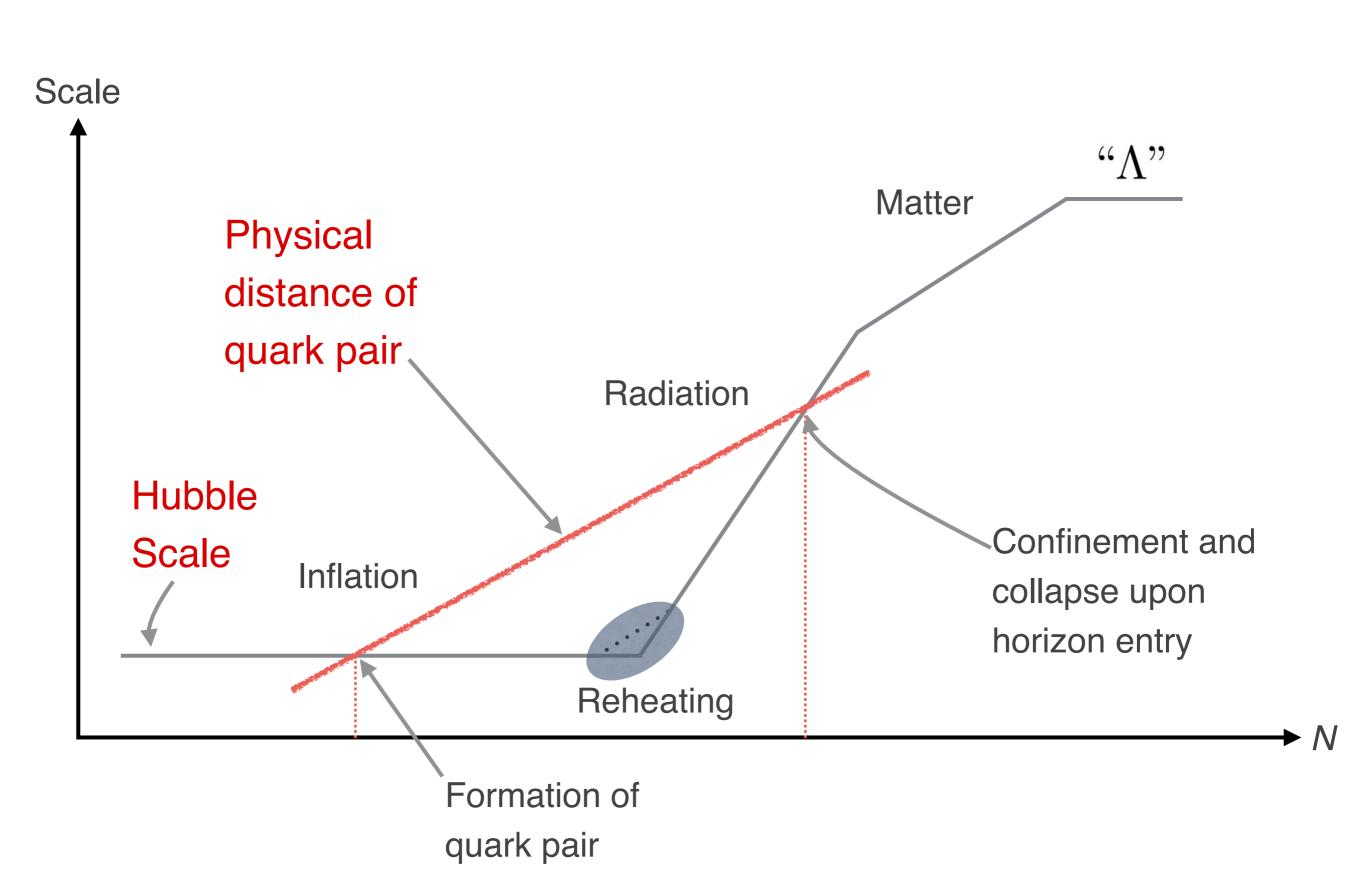
2. Ingredient: Confinement at energy scale $\Lambda_{\rm c}$, $M_{\rm q}/\Lambda_{\rm c}\gg 1$



★ 3. Ingredient: Black hole formation after horizon entry



Formation Scales



Dark Matter from Confinement

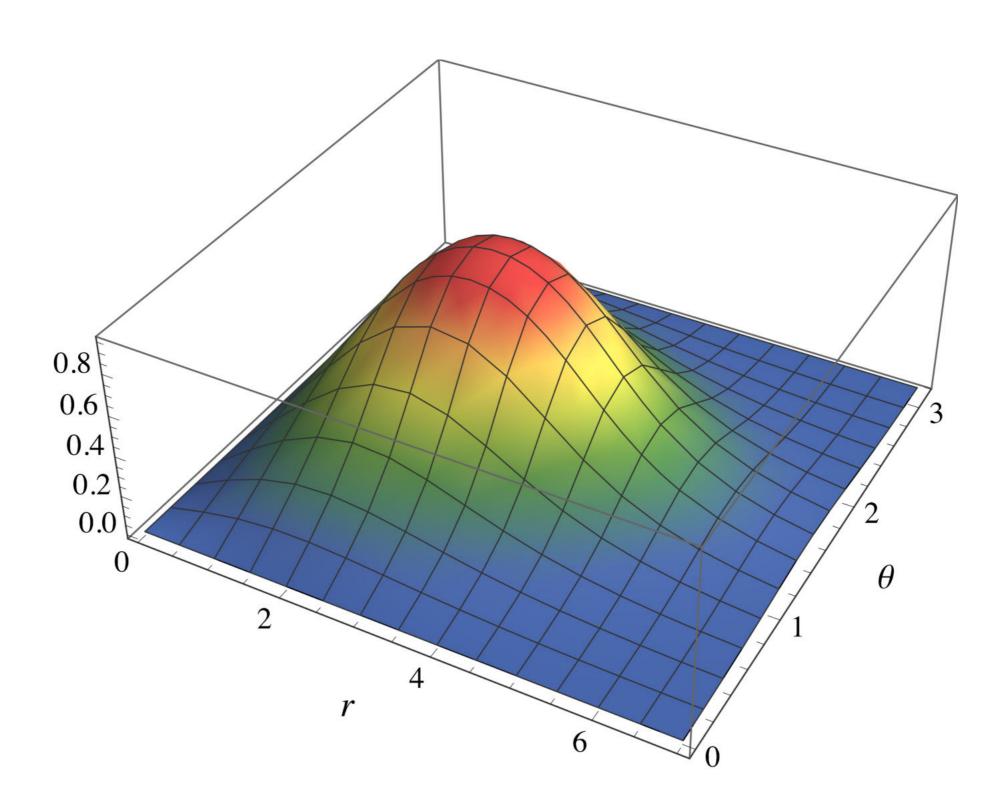
★ Present-day dark matter distribution vs monochromatic constraints:

Black Hole

Vortices

work with Dvali & Zantedeschi

Formation of Vortices



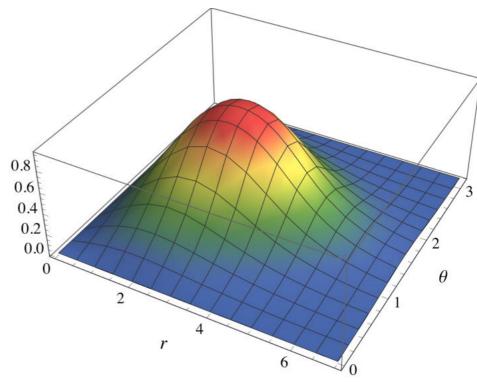
Formation of Vortices

★ Black Holes can be understood as saturons.

[Dvali 2021]

★ We showed that these admit vortex structure, in the case of near-extremal spin.

- ★ PBHs from confinement could provide ideal prerequisites for vortex formation due to highly spinning light PBHs.
- ★ If these PBHs provide the dark matter, their vorticity might explain primordial magnetic fields.



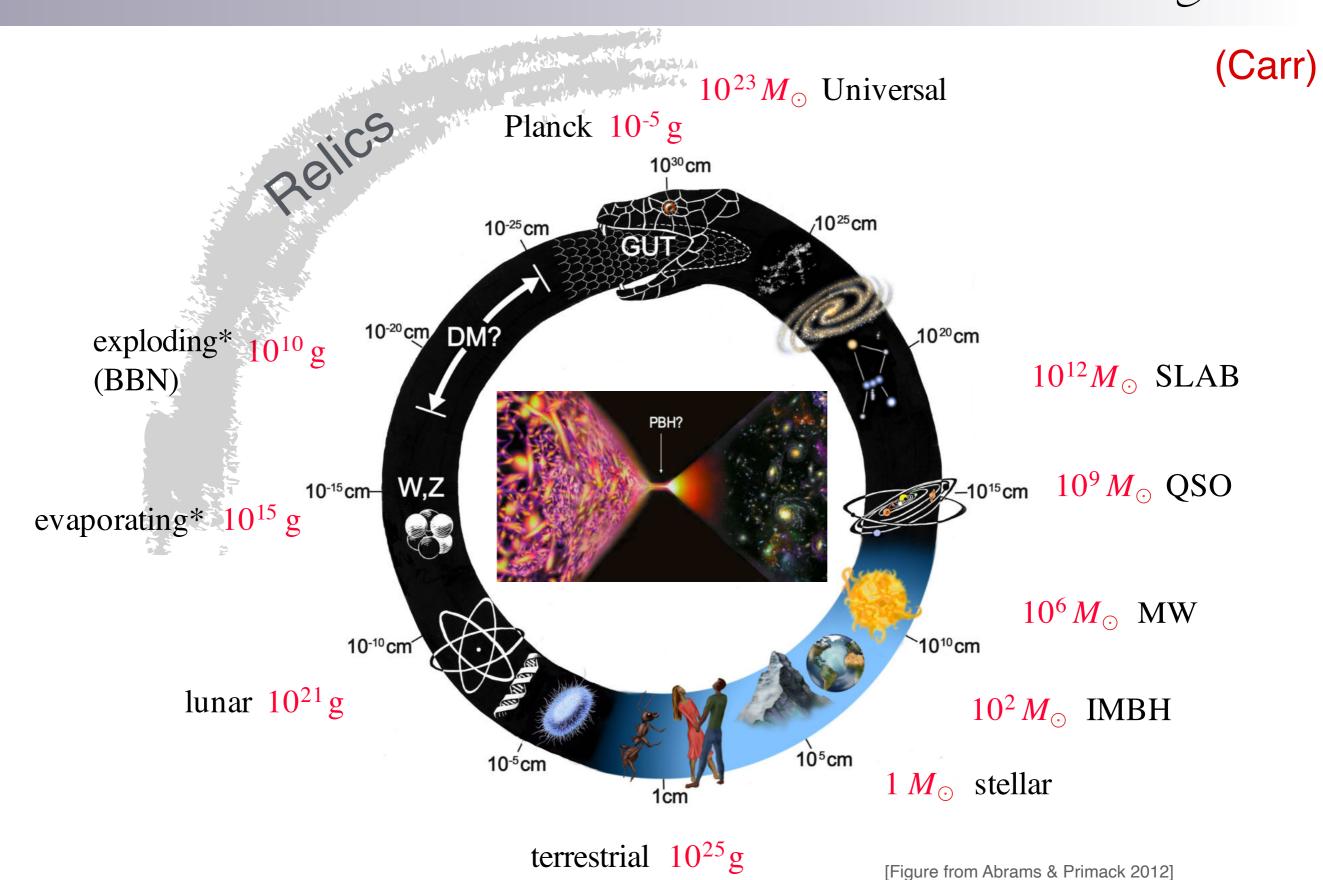
[Dvali, FK, Zantedeschi 2022]

★ Besides, vorticity provides a topological meaning to the stability of extremal black holes. Conclusion



- rimordial black holes influence physics on many different scales, and manifest themselves via a plethora of different signatures.
- At present, they are *not* tightly constraint in general and can easily constitute 100% of the dark matter, even in several mass ranges.
- ★ There are many hints for their existence from OGLE and other microlensing surveys, LIGO/Virgo gravitational-wave events etc.
- The thermal history of the Universe naturally provides peaks in the PBH mass function at several relevant scales.
- There are many formation mechanisms for PBHs with distinct characteristics, partly offering a potential to be probed in the near future, including the quantum nature of black holes.

Black Holes as a Link between Micro and Macro Physics



A Brand-New Review! ~120 pages, > 500 References

Primordial Black Holes

Albert Escrivà, 1,2,* Florian Kühnel, 3,4,† and Yuichiro Tada 5,2,6,‡

¹Service de Physique Théorique, Université Libre de Bruxelles,
Boulevard du Triomphe CP225, B-1050 Brussels, Belgium (previous affiliation)

²Department of Physics, Nagoya University, Nagoya 464-8602, Japan

³Arnold Sommerfeld Center, Ludwig-Maximilians-Universität,

Theresienstraße 37, 80333 München, Germany,

⁴Max-Planck-Institut für Physik, Föhringer Ring 6, 80805 München, Germany

⁵Institute for Advanced Research, Nagoya University, Nagoya 464-8601, Japan

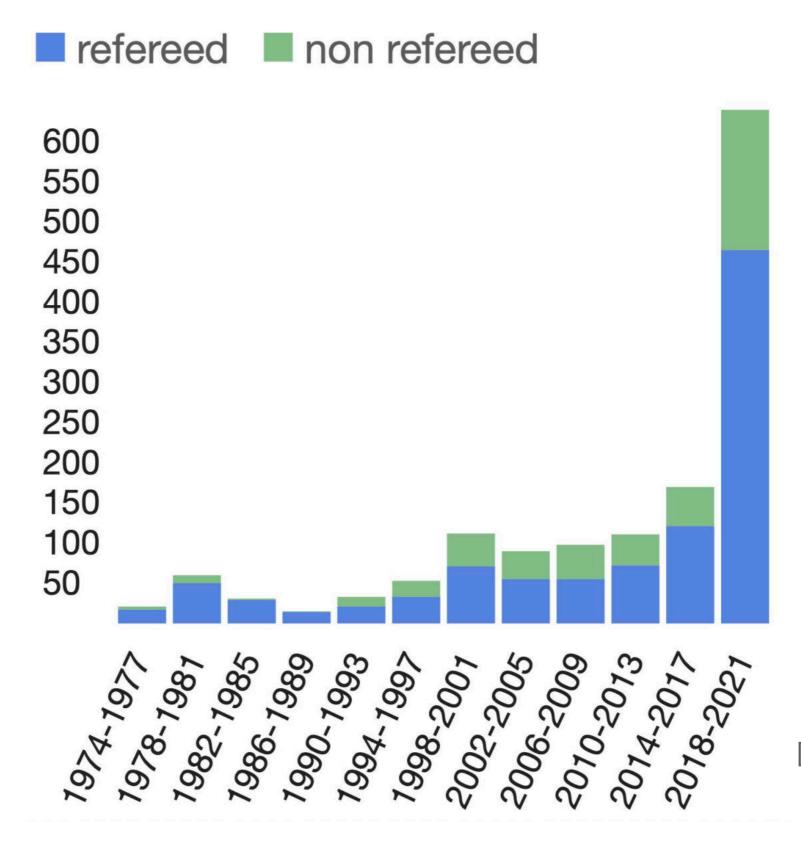
⁶Theory Center, IPNS, KEK, 1-1 Oho, Tsukuba, Ibaraki 305-0801, Japan

(Dated: Friday 11th November, 2022, 1:26am)

We review aspect of primordial black holes, i.e., black holes which have been formed in the early Universe. Special emphasis is put on their formation, their rôle as dark matter candidates and their manifold signatures, particularly through gravitational waves.

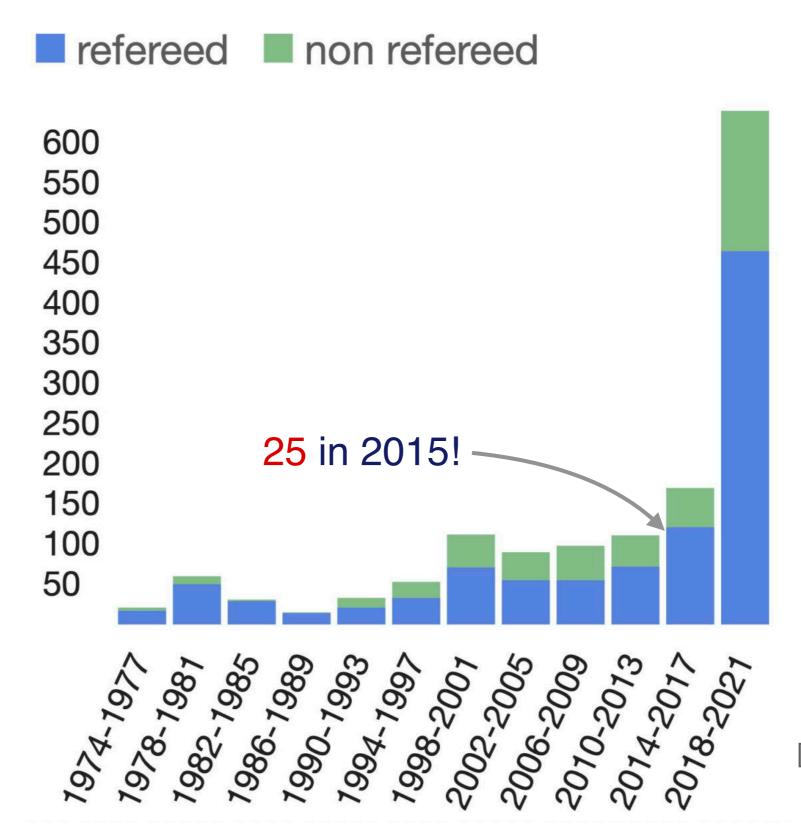
Addendum

Primordial Black Holes are Popular!



[SAO/NASA Astrophysics Data System]

Primordial Black Holes are Popular!



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Primordial Black Holes

from Confinement

work with Dvali & Zantedeschi

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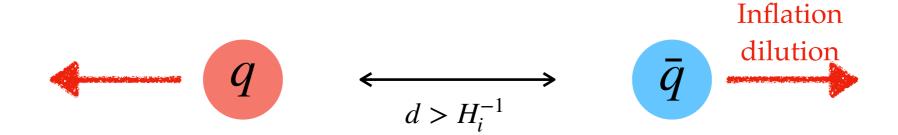
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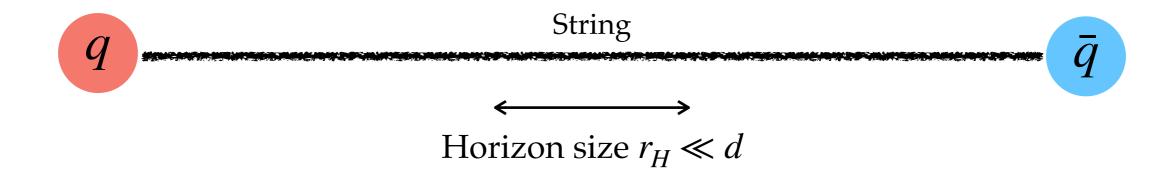
★ compatible with observations.

★ 1. Ingredient: de Sitter fluctuations produce quarks during inflation.



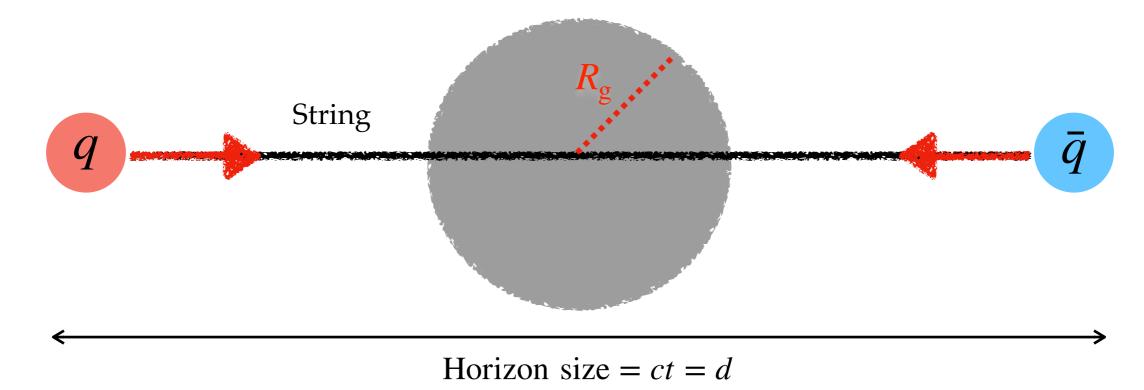
- * Focus on a simple pair case.
- \bigstar Distance grows as $d \propto e^{N_{\rm e}}$.
- * Quarks quickly move out of causal contact.

 \bigstar 2. Ingredient: Confinement at energy scale $\Lambda_{\rm c}$, $M_{\rm q}/\Lambda_{\rm c}\gg 1$



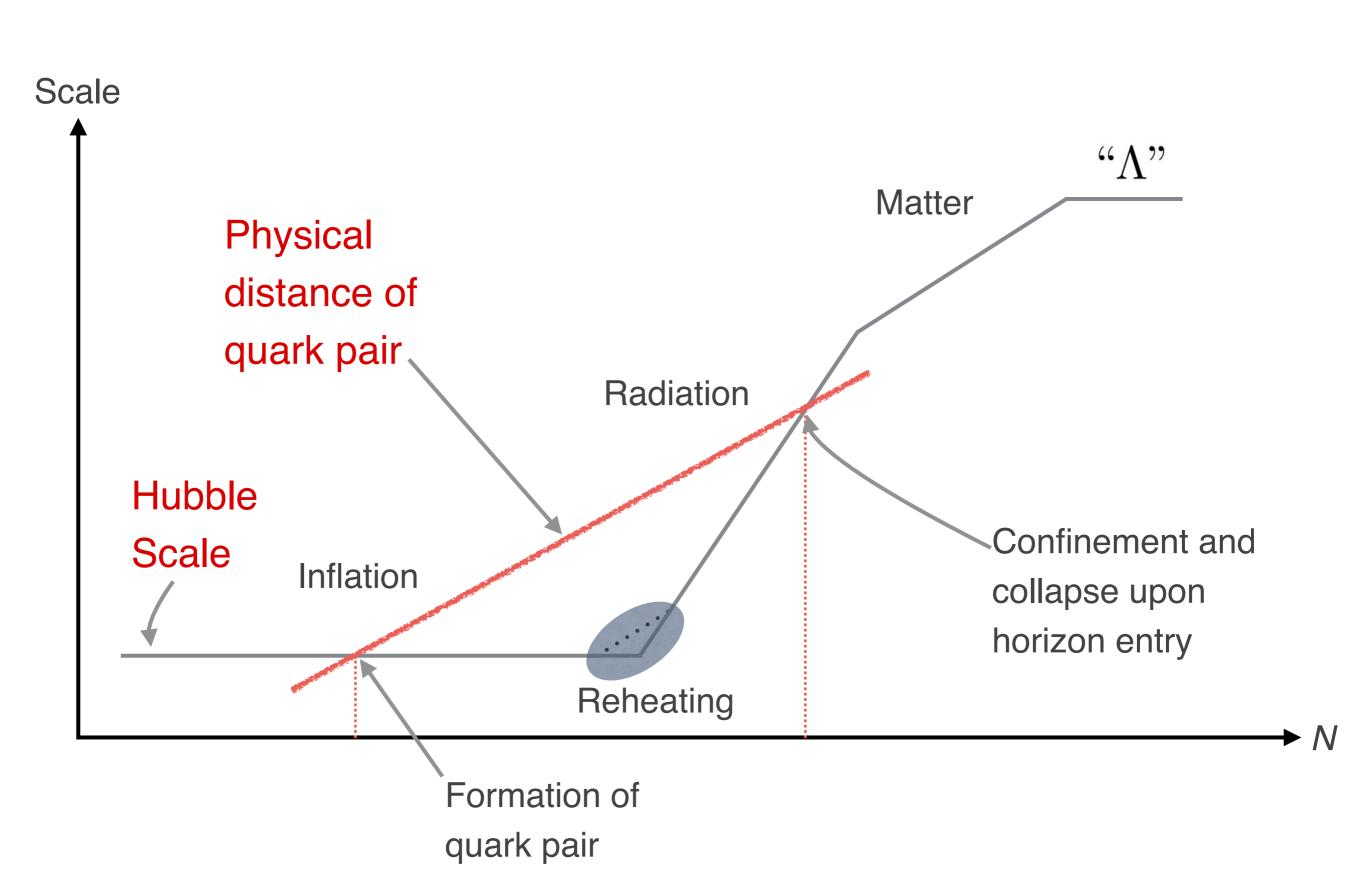
- ★ Flux tubes form connecting quark/anti-quark pairs.
- \uparrow The system cannot collapse as long as $d > r_H$.
- ightharpoonup String breaking into quarks pair, $P_{\rm tunnel} \propto e^{-\pi \left(M_{\rm q}/\Lambda_{\rm c}\right)^2}$, suppressed as long as $M_{\rm q}/\Lambda_{\rm c}\gg 1$.

★ 3. Ingredient: Black hole formation upon horizon entry



- \bigstar Acceleration of the quarks $a = \Lambda_{\rm c}^2/m_{\rm q}$ quickly leads to their ultra-relativistic motion.
- \uparrow The energy stored in the string is $E \simeq \Lambda_{\rm c}^2 t \simeq M_{\rm g}$, $R_{\rm g} \gg \Lambda_{\rm c}^{-1}$.
- \uparrow PBHs from inflationary overdensities are heavier by a factor $\sim \Lambda_c^2$.

Formation Scales

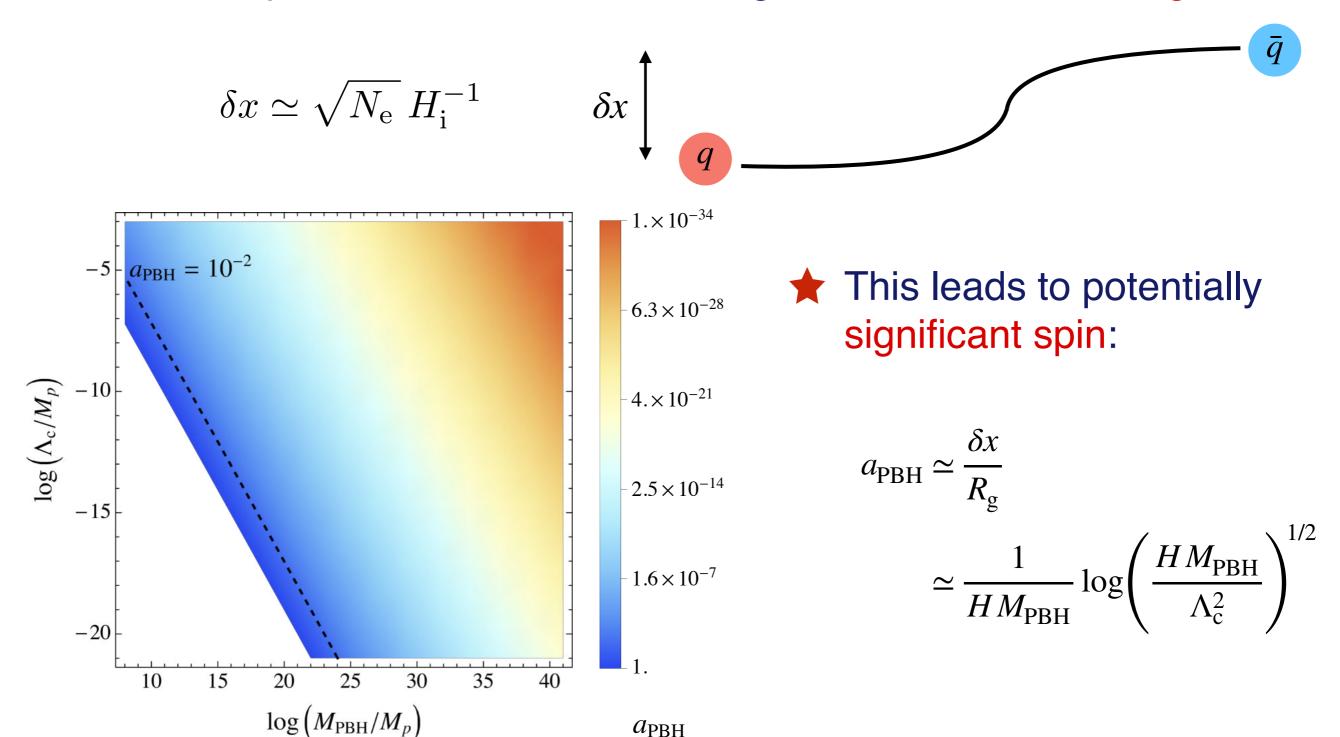


Dark Matter from Confinement

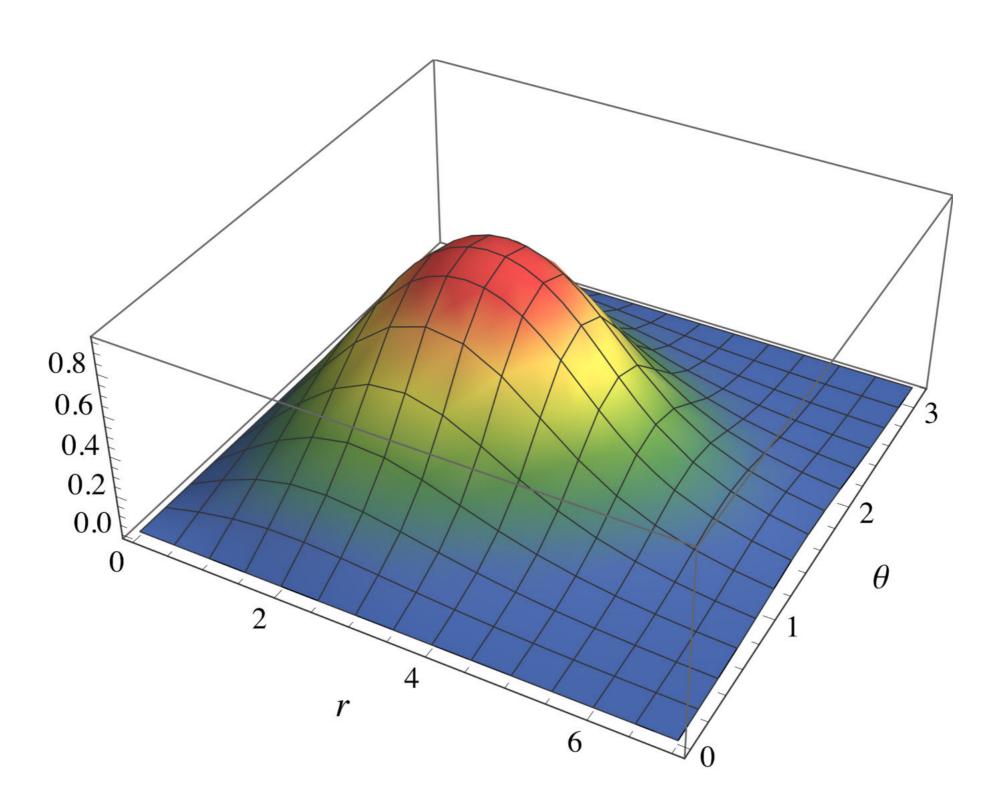
★ Present-day dark matter distribution vs monochromatic constraints:

High-Spin Subsolar PBHs

★ During inflation, the string undergoes a Brownian motion, induced by de Sitter quantum fluctuations, leading to deviation from straightness:

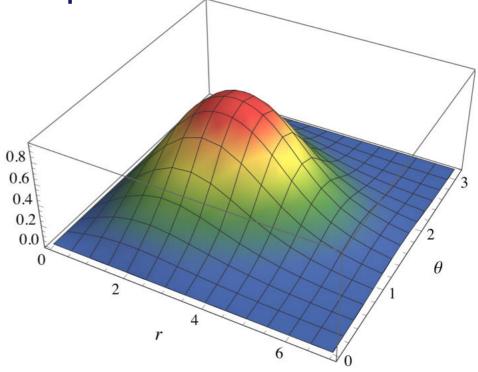


Formation of Vortices



Formation of Vortices

- ★ Black Holes can be understood as saturons (see talk by Dvali)
- ★ We showed that these admit vortex structure (see talk by Zantedeschi), in the case of near-extremal spin.
- ★ PBHs from confinement could provide ideal prerequisites for vortex formation due to highly spinning light PBHs.
- ★ If these PBHs provide the dark matter, their vorticity might explain primordial magnetic fields.



[Dvali, FK, Zantedeschi 2021]

★ Besides, vorticity provides a topological meaning to the stability of extremal black holes. More on Constraints

Constraints — A Worthwhile Remark

These constraints are not just nails in a coffin!





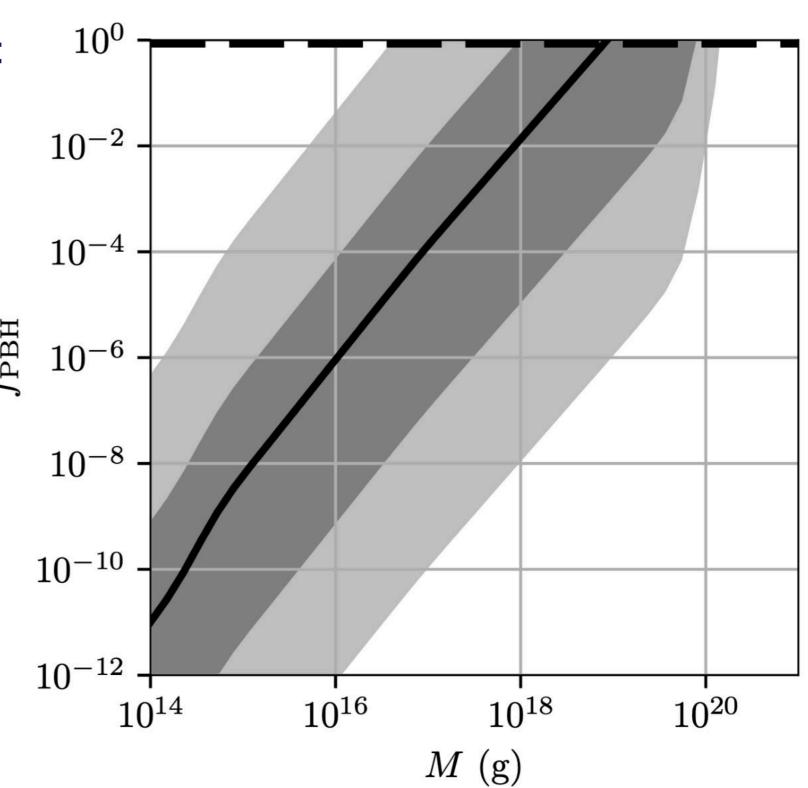
- ★ All constraints have caveats and might change.
- ★ Each constraint is a potential signature.
- **PBHs** are important even if $f_{PBH} \ll 1$.

Constraints — Uncertainties

- May constraints rely on rather on uncertain, restrictive, simplistic or even incorrect assumptions!
 - We have to understand better:
 - ★ Galactic dark-matter profile
 - ★ Clustering
 - * Accretion
 - ★ Characteristics of the lensed sources (size, variability, ...)
 - ★ Composition of "probes" in general
 - ★ Velocity distribution
 - ★ Hawking radiation

Constraints — Uncertainties on Hawking Radiation

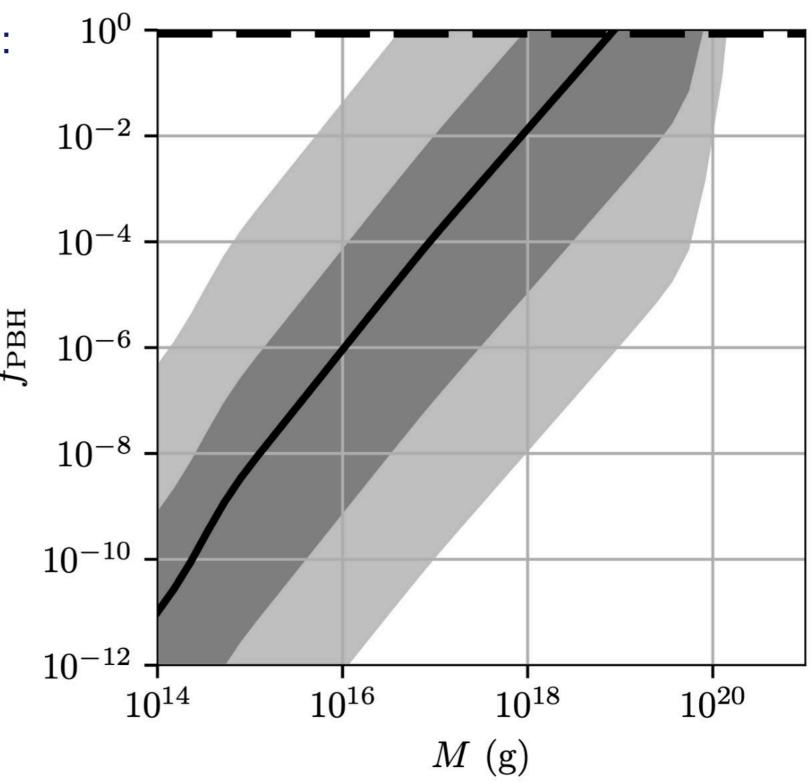
- Uncertainties induced by:
 - instrument characteristics
 - computation of the (extra)galactic photon fluxes
 - * statistical treatment
 - computation of the Hawking radiation



Constraints — Uncertainties on Hawking Radiation

- Uncertainties induced by:
 - instrument characteristics
 - computation of the (extra)galactic photon fluxes
 - * statistical treatment
 - computation of the Hawking radiation

These constraints might even *entirely* disappear, due to quantum back-reaction!



[Auffinger 2022]

Micro & Macro

 \bigstar Always when $f_{\mathrm{PBH}} < 1$ there must be another DM component!

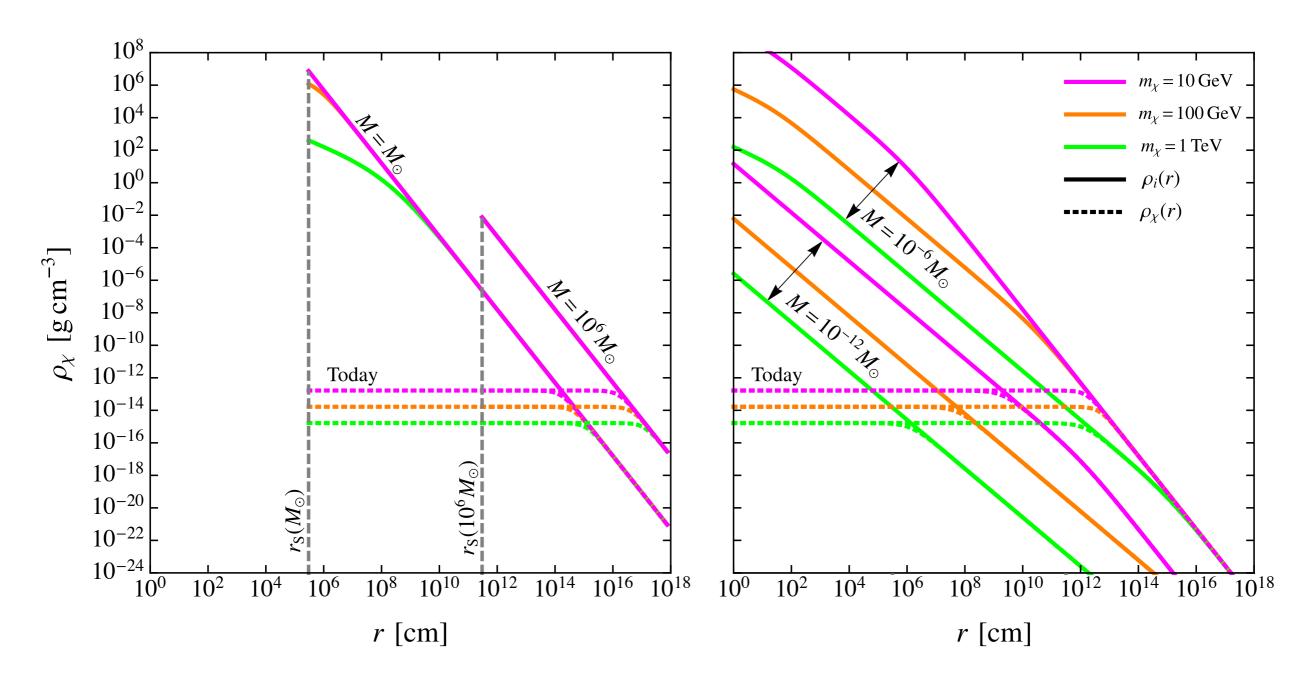
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 - ★ The latter will be accreted by the former; formation of halos.

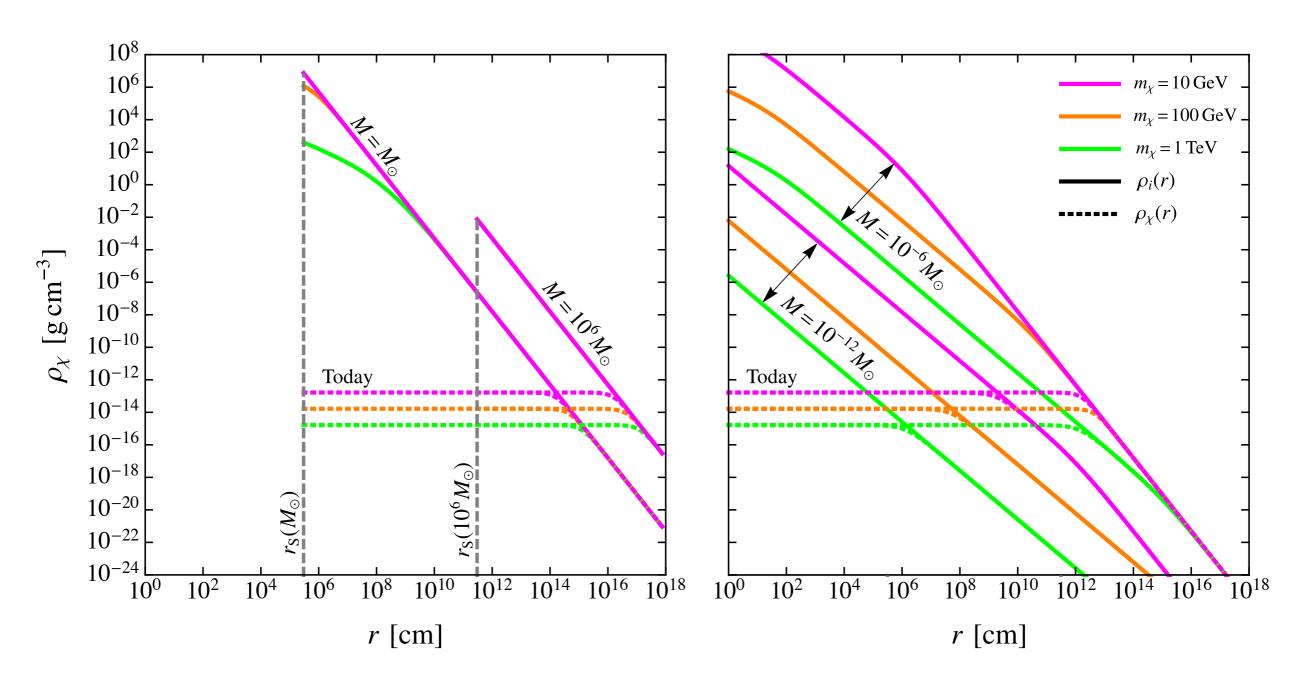
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 - ★ Study WIMP annihilations in PBH halos:
 - \bigstar The annihilation rate $\Gamma \propto n^2$.
 - \bigstar Halo profile does matter; enhancement of Γ in density spikes.
 - 1) Derive the density profile of the captured WIMPs;
 - 2) calculate the annihilation rate;
 - 3) and compare to data.

```
[Eroshenko 2016,
Boucenna et al. 2017,
Adamek et al. 2019,
Carr, FK, Visinelli 2020 & 2021]
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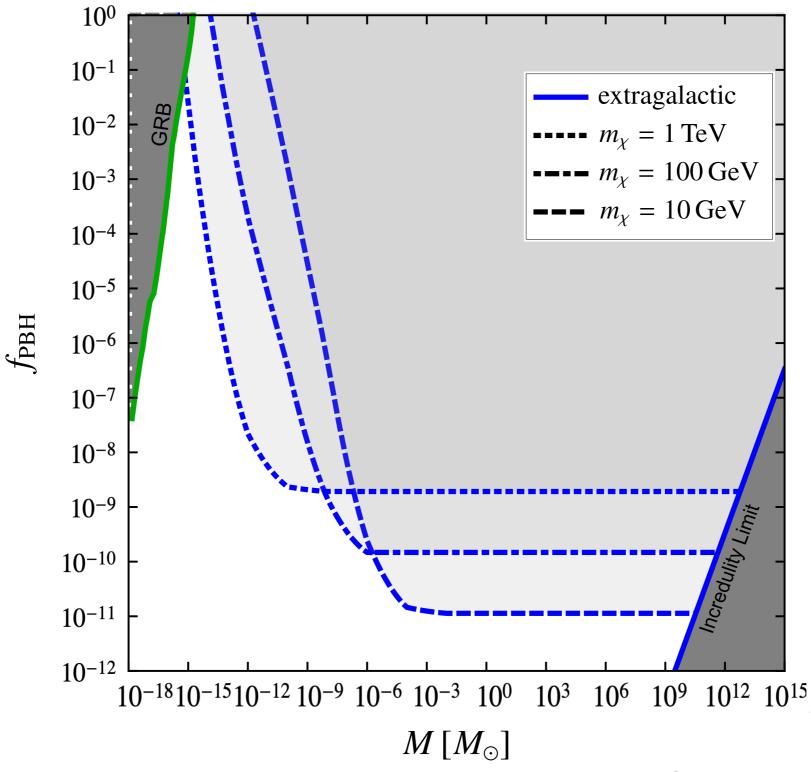


[Carr, FK, Visinelli 2021]

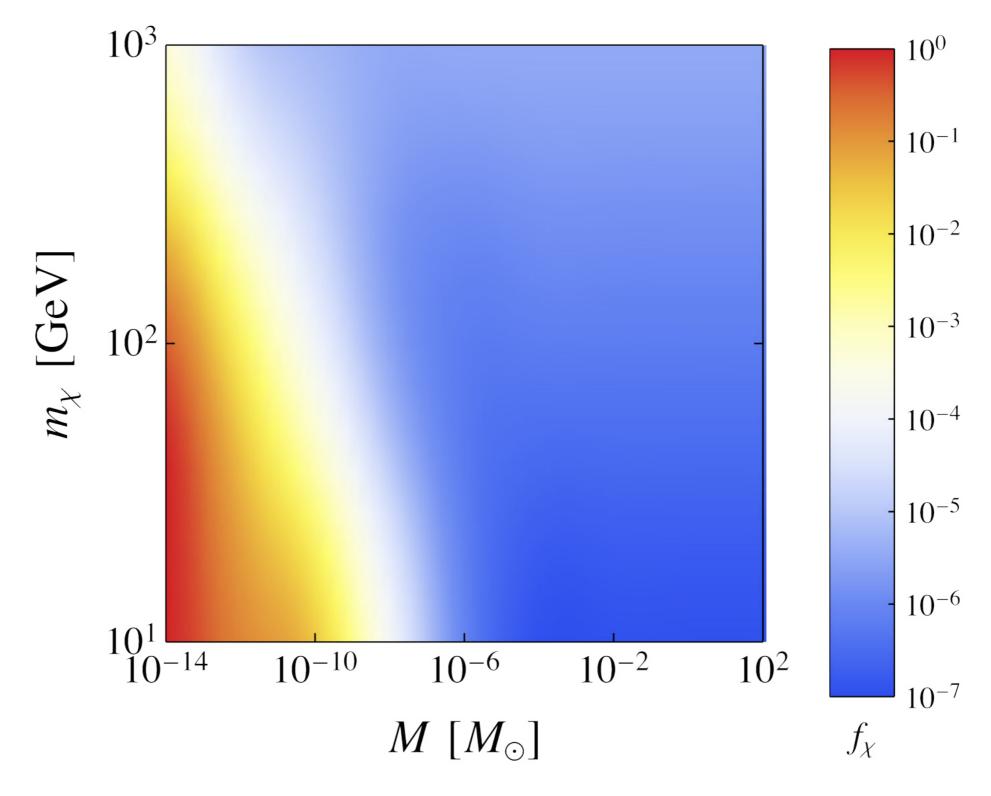


[Carr, FK, Visinelli 2021]

★ Annihilations lead to plateaux in the present-day halos.



[Carr, FK, Visinelli 2021]



[Carr, FK, Visinelli 2021]

Primordial Black Holes

from Confinement

Important Issues

★ The standard approach of PBH formation has two main issues:

★ In order to have a given percentage of PBH dark matter requires exponential fine-tuning.

★ PBH formation happens in the strong-coupling regime.

A New Approach

★ We propose a novel PBH formation mechanism which is

* assumption-minimal,

free of exponential fine-tuning,

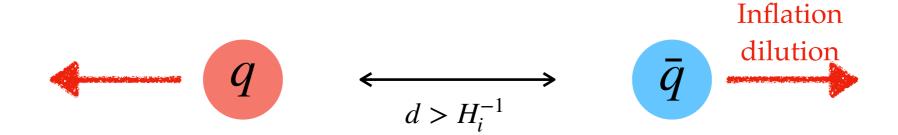
* avoids strong coupling,

★ works with standard QCD*,

★ compatible with observations.

Confinement Formation Mechanism

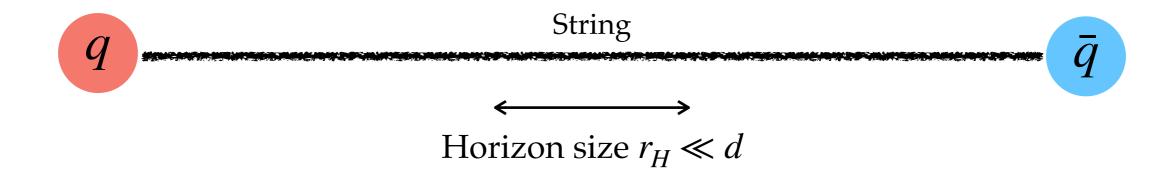
★ 1. Ingredient: de Sitter fluctuations produce quarks during inflation.



- * Focus on a simple pair case.
- \bigstar Distance grows as $d \propto e^{N_{\rm e}}$.
- * Quarks quickly move out of causal contact.

Confinement Formation Mechanism

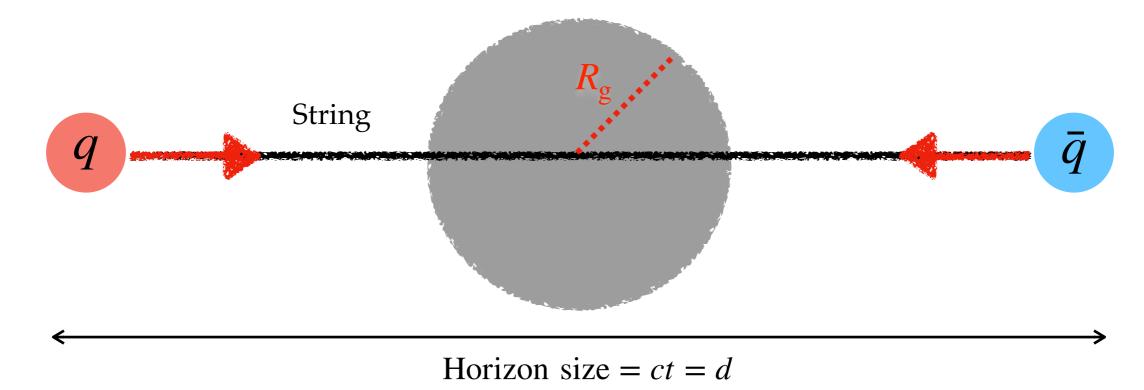
 \bigstar 2. Ingredient: Confinement at energy scale $\Lambda_{\rm c}$, $M_{\rm q}/\Lambda_{\rm c}\gg 1$



- ★ Flux tubes form connecting quark/anti-quark pairs.
- \uparrow The system cannot collapse as long as $d > r_H$.
- ightharpoonup String breaking into quarks pair, $P_{\rm tunnel} \propto e^{-\pi \left(M_{\rm q}/\Lambda_{\rm c}\right)^2}$, suppressed as long as $M_{\rm q}/\Lambda_{\rm c}\gg 1$.

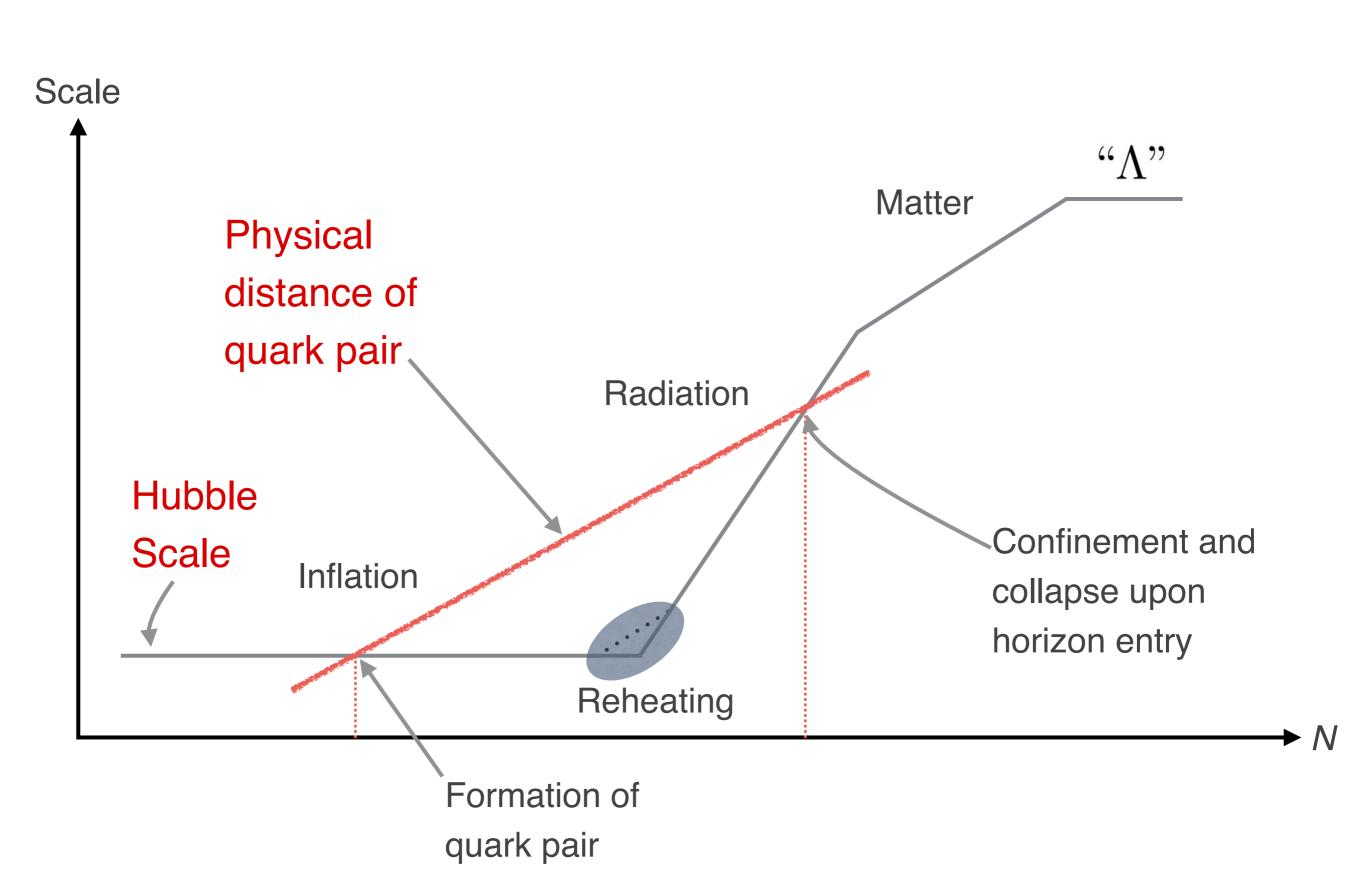
Confinement Formation Mechanism

★ 3. Ingredient: Black hole formation upon horizon entry



- \bigstar Acceleration of the quarks $a = \Lambda_{\rm c}^2/m_{\rm q}$ quickly leads to their ultra-relativistic motion.
- \uparrow The energy stored in the string is $E \simeq \Lambda_{\rm c}^2 t \simeq M_{\rm g}$, $R_{\rm g} \gg \Lambda_{\rm c}^{-1}$.
- \uparrow PBHs from inflationary overdensities are heavier by a factor $\sim \Lambda_c^2$.

Formation Scales



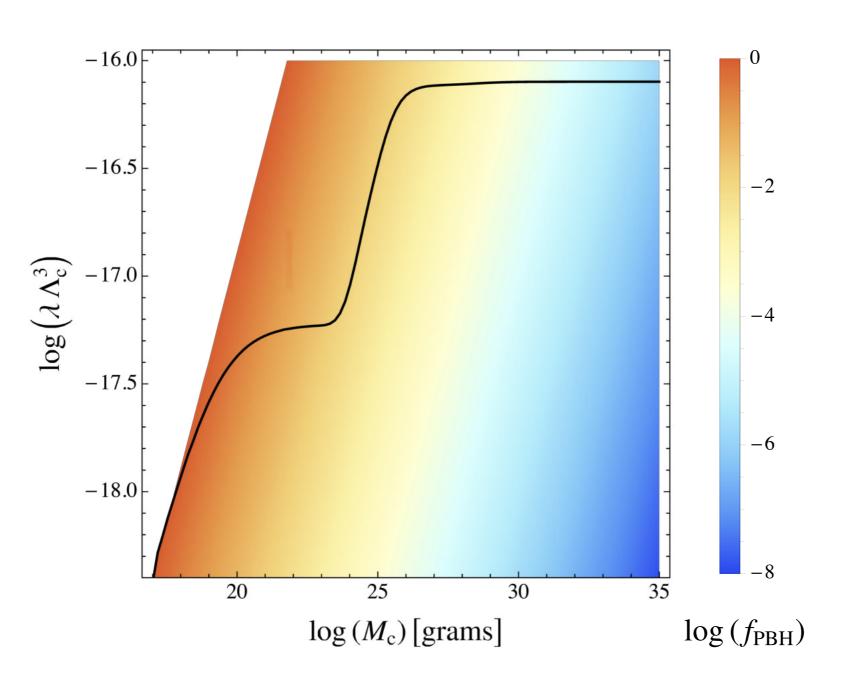
Dark Matter from Confinement

★ Present-day dark matter distribution vs monochromatic constraints:

Extended-Constraint Analysis

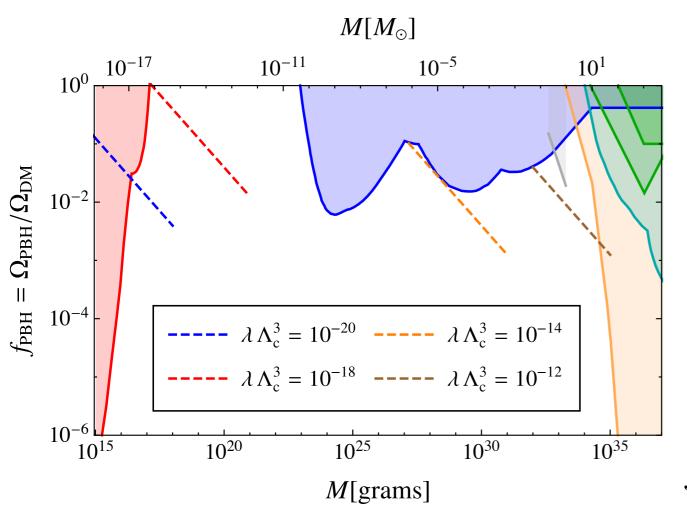
Require:
$$\int_{M_1}^{M_2} d \ln M_{\text{PBH}} \, \frac{d f_{\text{PBH}}(M_{\text{PBH}})}{d \ln M_{\text{PBH}}} \frac{1}{f_{\text{max}}(M_{\text{PBH}})} \stackrel{!}{\leq} 1$$

[Carr et al. 2017]



- Full compatibility with observations below the black line, here, exemplary for $M_{\rm peak} \sim 10^{17} {\rm g.}$
- Results: Possible to accommodate 100% of PBH dark matter...
 - ... at the same time provide seeds for supermassive black holes in galactic centres.

Dark Matter



Monochromatic spectrum

$$f_{\rm PBH} \equiv \frac{\rho_{\rm PBH}(t)}{\rho_{\rm CDM}(t)} = \frac{32\pi}{3} \lambda \Lambda_{\rm c}^3 \left(\frac{M_{\rm PBH}}{M_{\rm eq}}\right)^{-1/2}$$

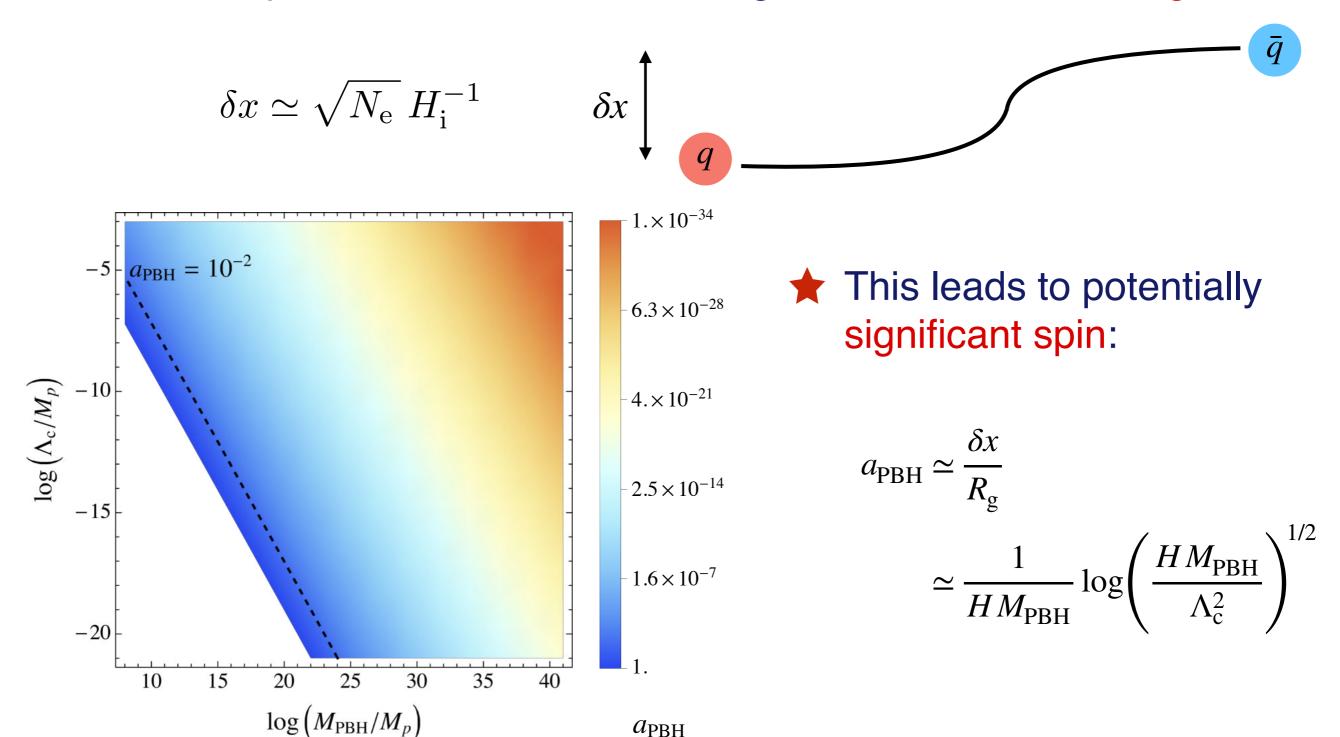
Extended spectrum

$$\int_{M_1}^{M_2} d \ln M_{\text{PBH}} \frac{d f_{\text{PBH}}(M_{\text{PBH}})}{d \ln M_{\text{PBH}}} \frac{1}{f_{\text{max}}(M_{\text{PBH}})} \stackrel{!}{\leq} 1$$

100% of dark matter!

High-Spin Subsolar PBHs

★ During inflation, the string undergoes a Brownian motion, induced by de Sitter quantum fluctuations, leading to deviation from straightness:



Embedding within Standard QCD*

Remember, our required assumption, for the string not to break:

$$\Lambda_{\rm c} < M_{\rm q}$$

 \bigstar However, standard QCD values indicate the opposite: $\Lambda_{\rm c} > M_{\rm q}$.

t looks like, our mechanism cannot work with QCD...

Embedding within Standard QCD*

★ It is natural for the confinement scale and mass to change in the early Universe!

$$g_{y}\bar{\psi}_{L}\psi_{R}\phi$$

$$\frac{1}{4g^{2}}F_{\mu\nu}F^{\mu\nu}$$

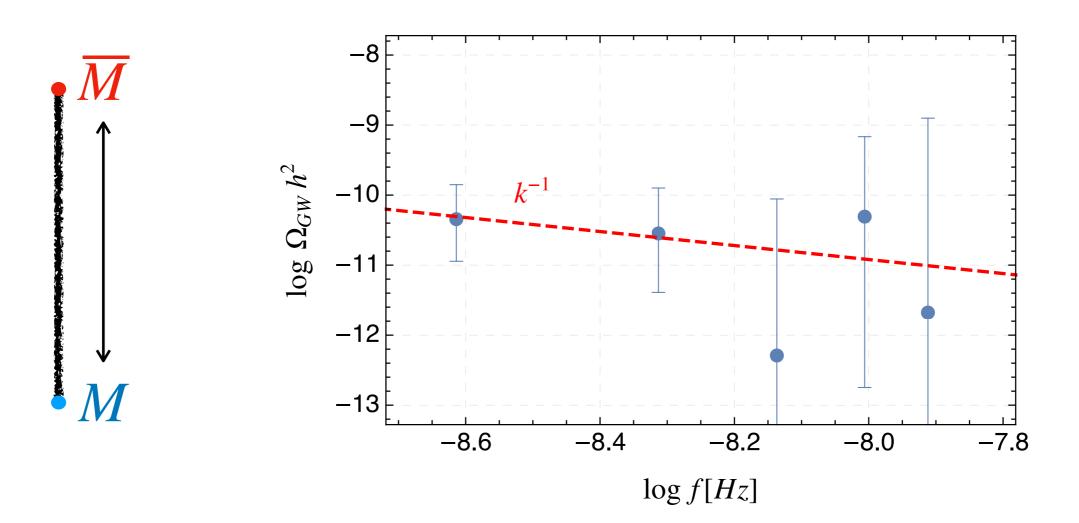
- ★ Couplings are expectation values of fields and can be very different in the early Universe.
 - Requirement: Low-temperature expectation value should set the right coupling values.

This should happen before BBN, leaving large room for PBH production via the confinement mechanism.

Gravitational Waves

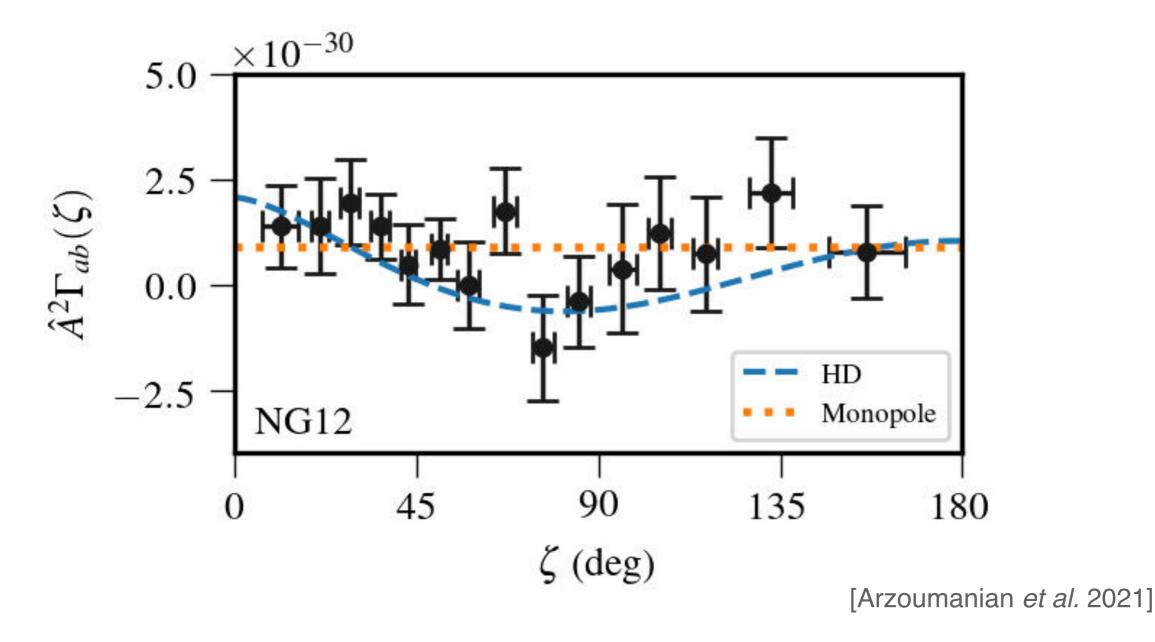
- After horizon entry, the quarks quickly move towards each other, emitting gravitational waves.
 - ★ This is similar to dual to systems of dual monopole/anti-monopole pairs connected by a string.

 [cf. Martin & Vilenkin 1997; Leblond, Shlaer, Siemens 2009]
 - ★ NANOGrav data from pulsar-timing observations indicate the presence of a stochastic gravitational-wave background.



NANOGrav

★ There might be a lack of Hellings-Downs correlation. - still unclear

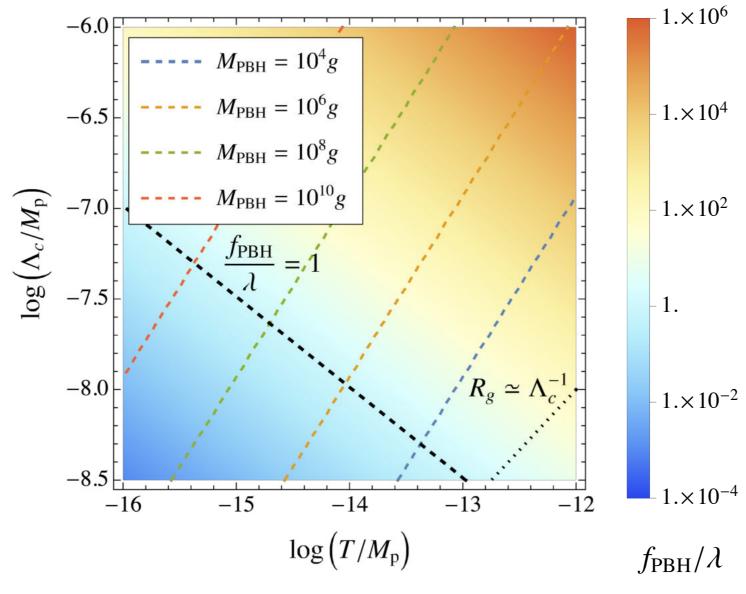


We can easily generate a monopolar signal upon adding e.g. $\sim \phi \, \bar{q} q$ with coupling strength relatively weaker by $\sim 10^{-3}$.

Light PBH Dark Matter?

- ★ The exclusion of light ($M_{\rm PBH} \lesssim 10^{15}\,\rm g$) PBHs is based on the validity of semiclassical Hawking radiation throughout most of the evaporation.
- ★ This is unjustified (and likely to be entirely false), as suggested by recent studies of black holes on the full quantum level.

[Dvali *et al.* 2020]

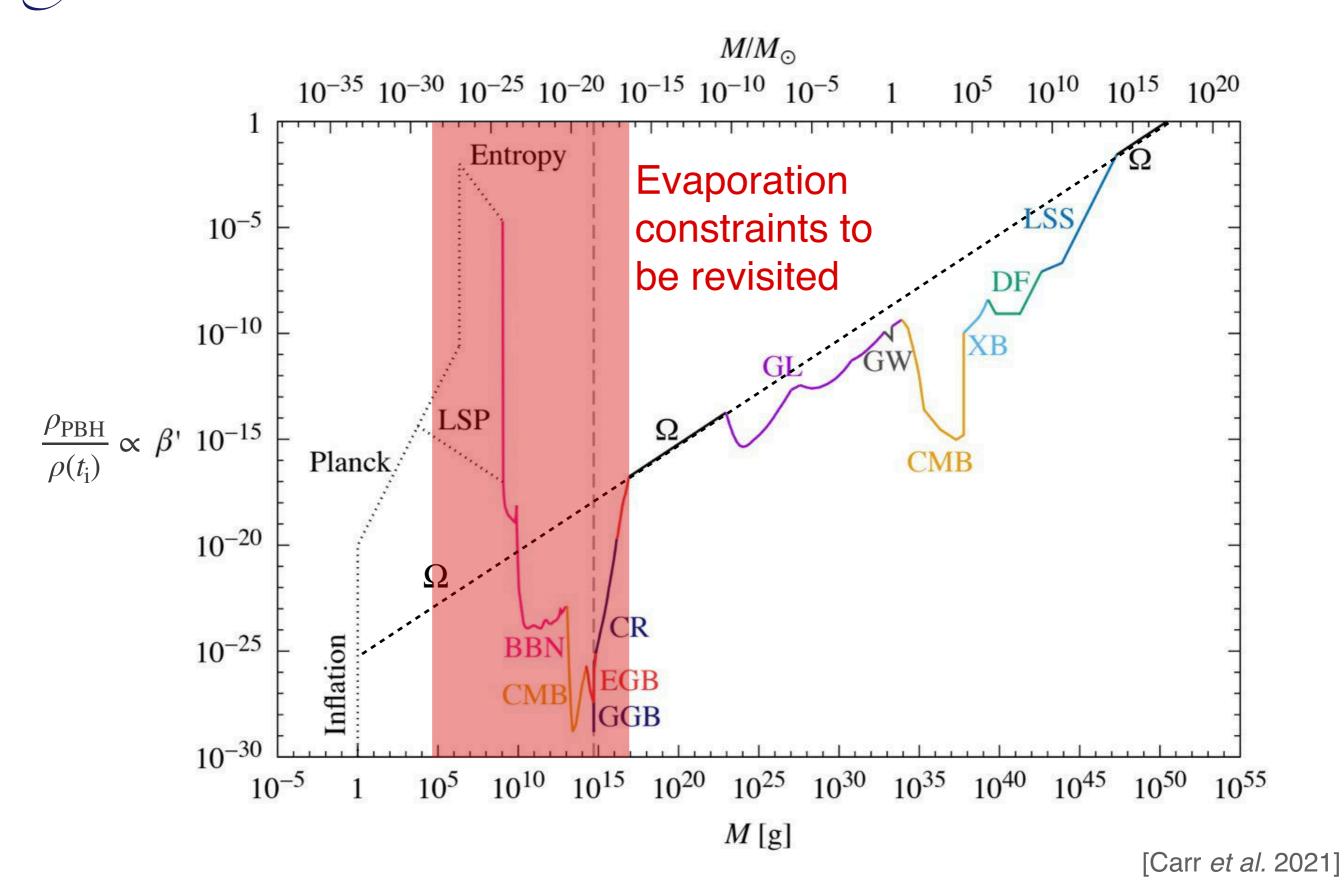


- Results suggest that due to the holes' enormous memory capacity, their lifetime τ might be significantly prolonged.
- ★ A conservative estimate is:

$$\tau \to \tilde{\tau} \geq \tau \, S_{\bullet -}^2$$
 Entropy of the black hole

★ This opens up a large window for light PBH dark matter.

Light PBH Dark Matter?



Formation II: Critical Collapse

Usually: Assume

$$M_{BH} \propto M_{H}$$
 horizon mass

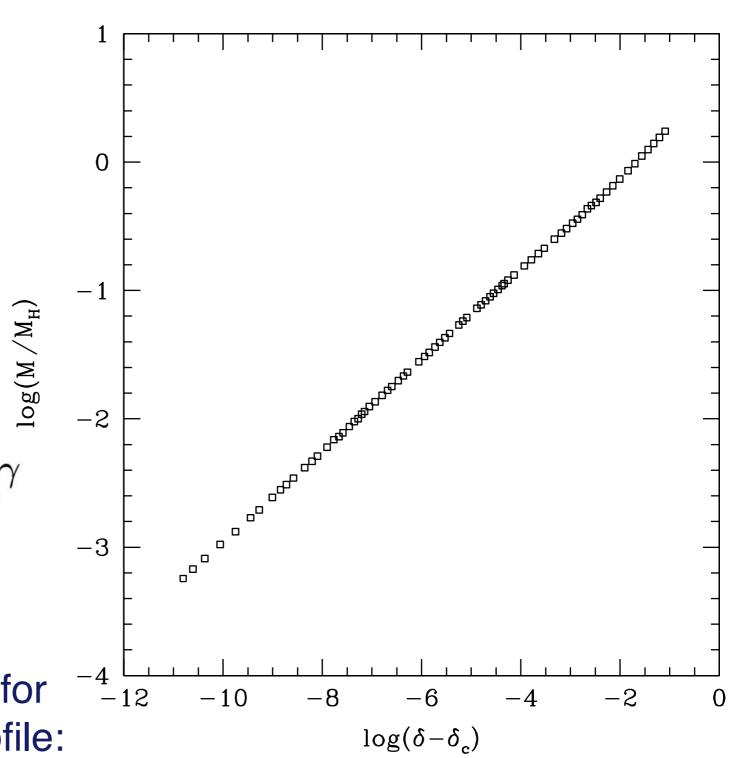
★ Critical scaling:

[Choptuik '93]

$$M_{BH} = k\,M_H \big(\delta - \delta_c\big)^{\gamma}$$
 density contrast

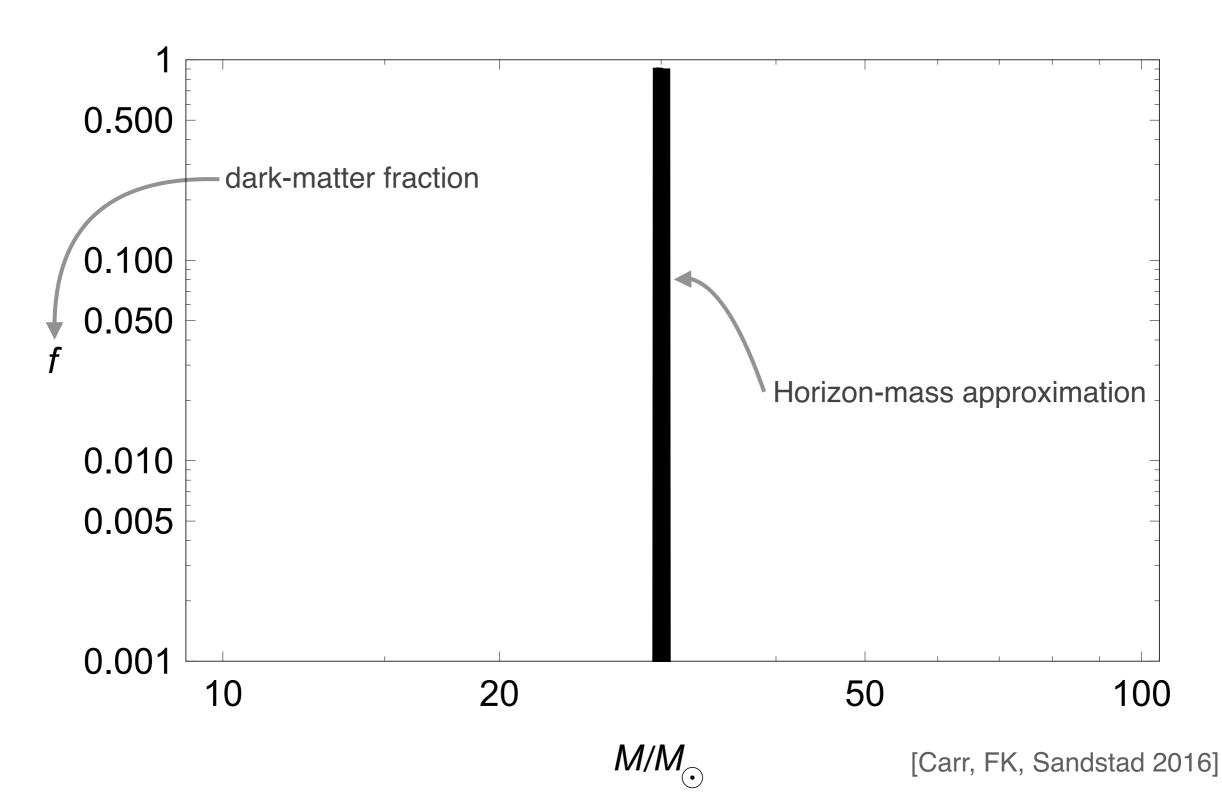
Radiation domination and for

spherical Mexician-hat profile:

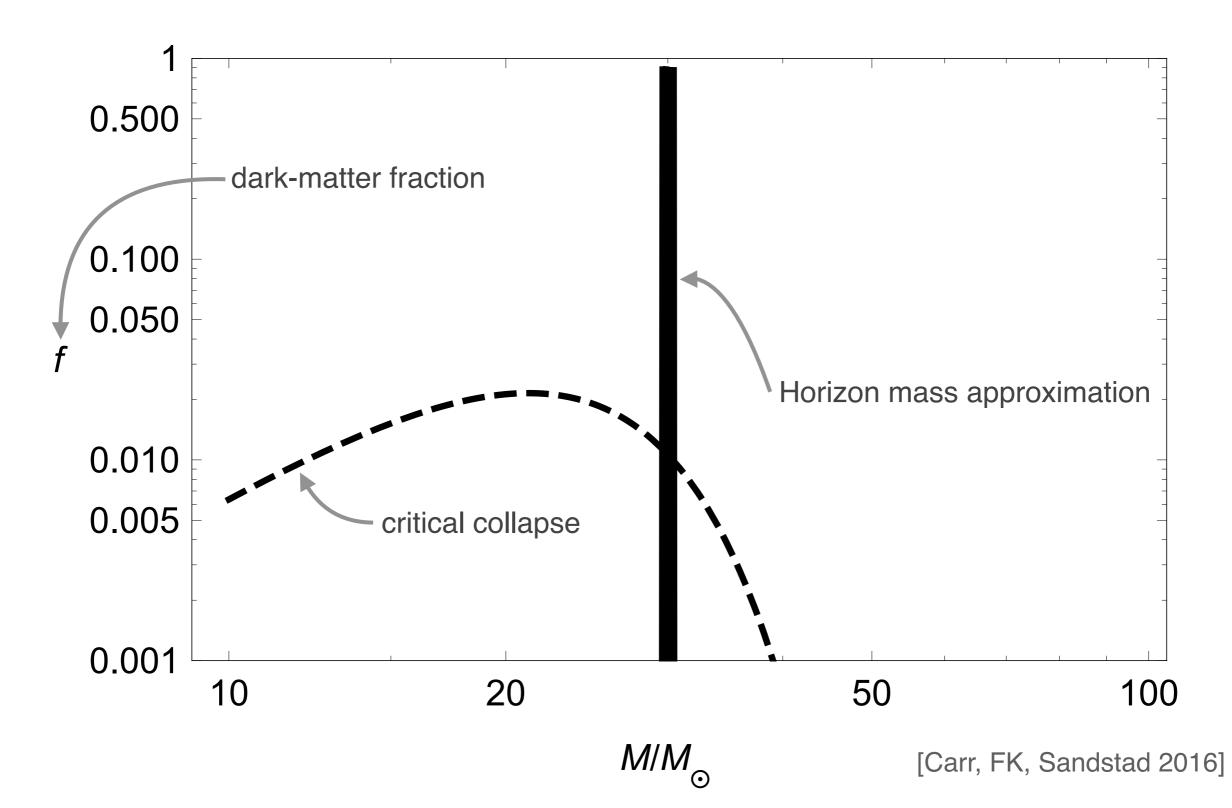


[Musco, Miller, Polnarev 2008]

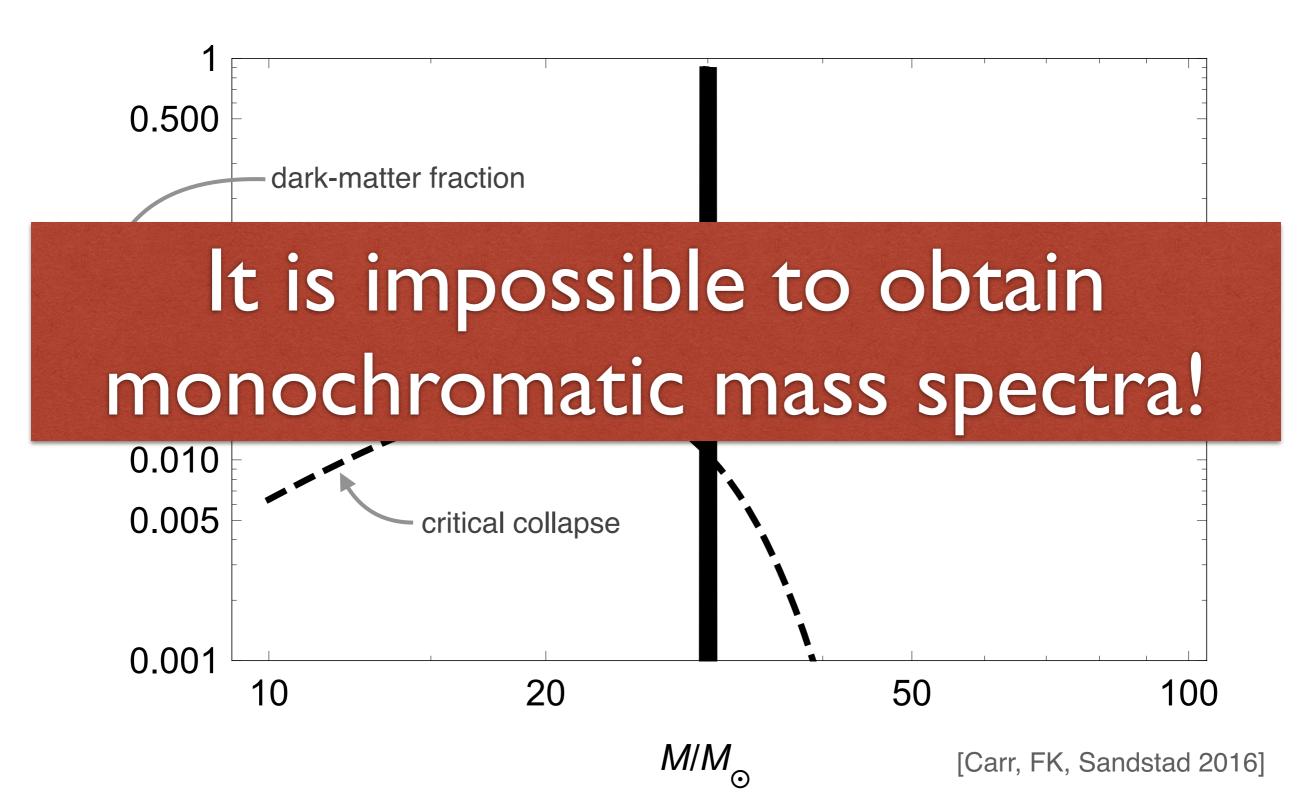
★ How would this look for monochromatic mass function?



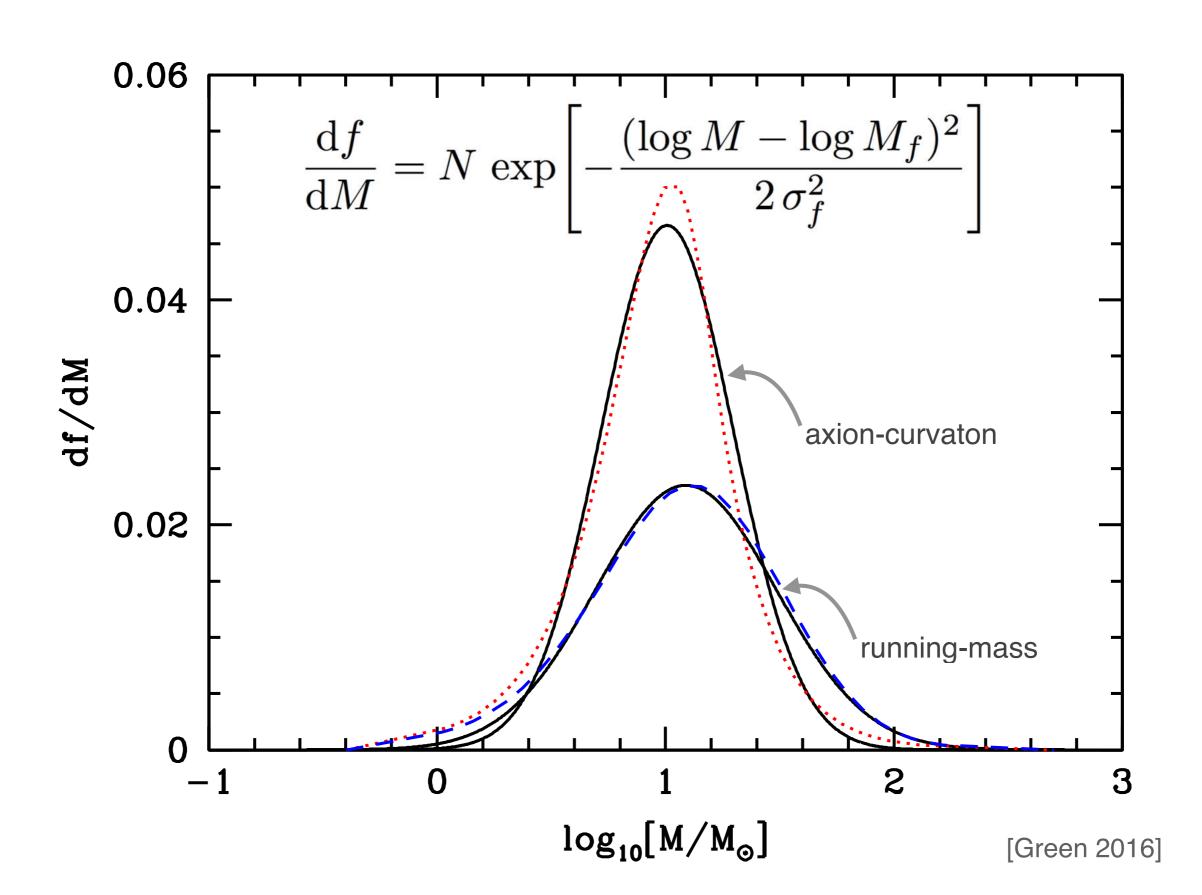
★ How would this look for monochromatic mass function?



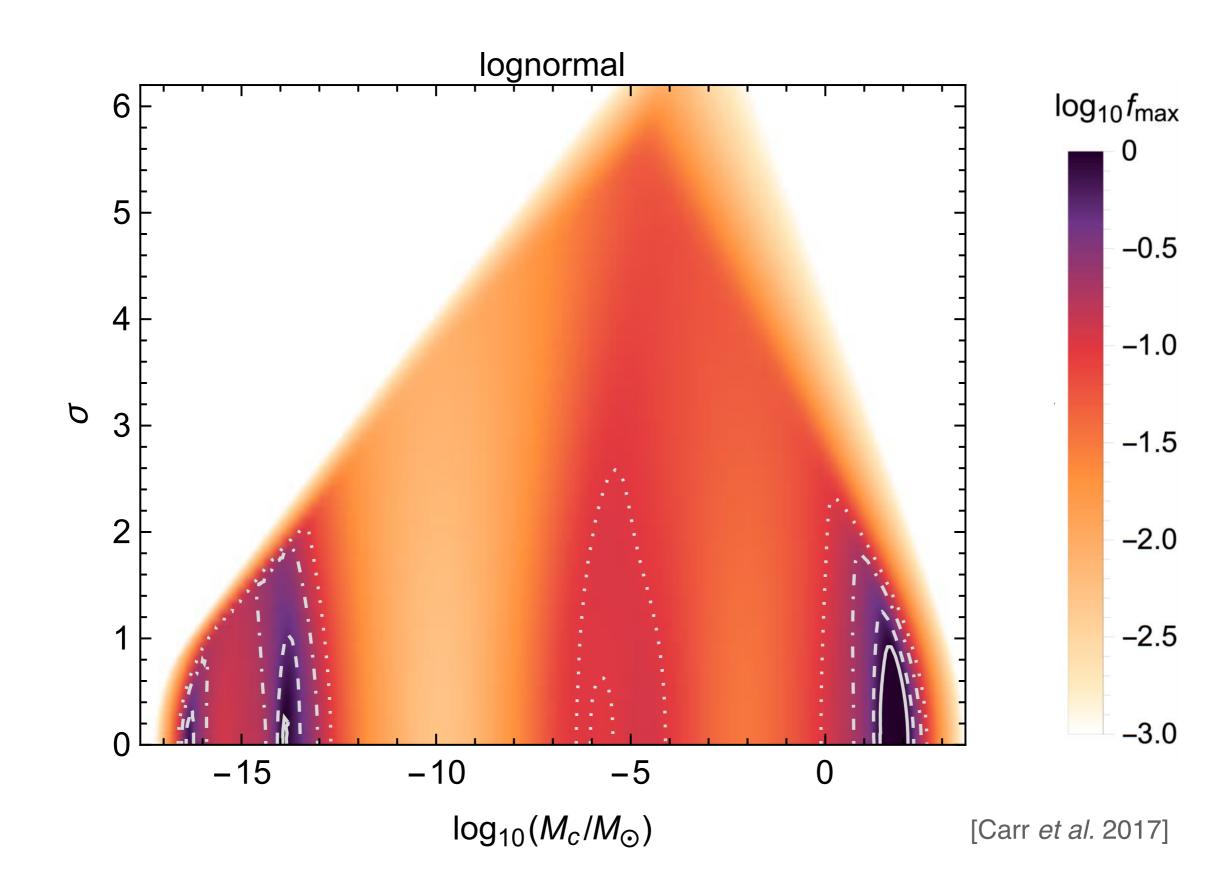
★ How would this look for monochromatic mass function?



More Systematic Study



More Systematic Study



★ Lepton flavour asymmetries are defined as

$$\ell_{\alpha} \equiv \frac{n_{\alpha} - n_{\bar{\alpha}} + n_{\nu_{\alpha}} - n_{\bar{\nu}_{\alpha}}}{s} , \quad \alpha \in \{e, \mu, \tau\}$$

 $n_{lpha}, n_{ar{lpha}}, n_{
u_{lpha}}, n_{ar{
u}_{lpha}}$ num

number densities of (anti)leptons and corresponding (anti)neutrinos

S

entropy densities

★ CMB constraints are quite weak:

$$|\ell_e + \ell_\mu + \ell_\tau| < 1.2 \times 10^{-2}$$

(unlike baryon asymmetry: $b = 8.7 \times 10^{-11}$)

